

AMERICA'S **TOP 1200** FINANCIAL **ADVISORS**

# BARRON'S

March 14, 2019



# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
<b>Alabama</b>													
1	1	Tony Smith	UBS Financial Svcs	Birmingham	.	.	.				\$3,592	\$50	\$100
2	7	Wes Clayton	HighTower Twickenham	Huntsville	.	.	.			.	1,243	6	20
3	3	Robert Runkle	Merrill Lynch Wealth Mgmt	Montgomery	.	.	.			.	1,592	1.5	3
4	2	Jeff Roberts	Ameriprise Financial	Birmingham	.	.	.				721	0.51	1.25
5	6	Camper O'Neal	Merrill Lynch Wealth Mgmt	Birmingham	.	.	.	.	.	.	955	8	10
6	5	Jerry Duncan	UBS Financial Svcs	Birmingham	.	.	.				3,592	50	100
7	4	Mark Mantooth	Merrill Lynch Wealth Mgmt	Huntsville	.	.	.				911	3	15
8	8	Michael Warr	Morgan Stanley PWM	Tuscaloosa	.	.	.			.	416	5	10
9	9	Michael Mullis	Kelley & Mullis Wealth Mgmt	Vestavia Hills	.	.	.			.	640	0.95	1.75
10	10	Steven Hudson	Ameriprise Financial	Birmingham	.	.	.				516	1.75	3.75
11	N	Brian Woodke	Merrill Lynch Wealth Mgmt	Birmingham	.	.	.				1,001	4	7
12	N	Palmer Whiting	Merrill Lynch Wealth Mgmt	Mobile	.	.	.				447	2	5
<b>Alaska</b>													
1	3	Thomas Konop	Morgan Stanley Wealth Mgmt	Anchorage	.	.	.				\$257	\$1.50	\$3
2	1	Jeff Leonard	Leonard & Martens / Ray, James	Anchorage	.	.	.	.		.	660	2	3
3	4	Peter Jurasz	Merrill Lynch Wealth Mgmt	Juneau	.	.	.				404	2.5	10
4	N	Charles Carr	Merrill Lynch Wealth Mgmt	Anchorage	.	.	.			.	267	0.5	1
5	2	Kenneth Jones	Merrill Lynch Wealth Mgmt	Anchorage	.	.	.				670	2.5	8
6	5	Tommy Kibler	Merrill Lynch Wealth Mgmt	Anchorage	.	.	.				360	2	3.5
<b>Arizona</b>													
1	3	Steven Schultz	UBS Financial Svcs	Phoenix	.	.	.				\$2,028	\$3	\$5
2	1	Scott Horn	TFO Phoenix	Phoenix	.	.	.				2,929	20	25
3	2	Robert Bancroft	Morgan Stanley PWM	Scottsdale	.	.	.				1,137	20	40
4	7	Trevor Wilde	Wilde Wealth Mgmt Group	Scottsdale	.	.	.				801	0.5	1.65
5	6	Glenn Pahnke	RBC Wealth Mgmt	Scottsdale	.	.	.	.			675	3.5	8
6	5	David Stahle	Merrill Lynch Wealth Mgmt	Gilbert	.	.	.	.	.	.	2,509	3	5
7	4	Allan Flader	RBC Wealth Mgmt	Phoenix	.	.	.				725	2.5	5
8	N	Jeffry Korte	Merrill Lynch Wealth Mgmt	Scottsdale	.	.	.				651	1.25	3
9	N	Nick Karabas	Creative Planning	Scottsdale	.	.	.				496	0.37	1.01
10	11	James Marten	Merrill Lynch Wealth Mgmt	Phoenix	.	.	.	.	.	.	2,379	3.5	5.5
11	9	Michael Butt	Morgan Stanley Wealth Mgmt	Scottsdale	.	.	.			.	447	1.5	2
12	13	Steve Rabin	Ameriprise Financial	Mesa	.	.	.				700	1	2.5
13	10	Michael Hudak	Merrill Lynch PBIG	Phoenix	.	.	.			.	2,382	10	20
14	14	Paul Groves	Morgan Stanley PWM	Scottsdale	.	.	.				1,363	35	50
15	8	Ruth Transue	Wells Fargo Advisors	Tucson	.	.	.				700	2	4
16	19	Richard Whalen	UBS Financial Svcs	Phoenix	.	.	.			.	1,382	1.09	3.5
17	12	Brian Friedman	Merrill Lynch Wealth Mgmt	Phoenix	.	.	.			.	947	6.5	16
18	24	Tony Bebbington	UBS Financial Svcs	Phoenix	.	.	.				379	0.5	2
19	N	Rick Wood	Merrill Lynch Wealth Mgmt	Scottsdale	.	.	.				1,614	1.3	2
20	18	Stephen Harnden	Ameriprise Financial	Peoria	.	.	.			.	548	0.5	0.5
21	23	Christopher Downs	Merrill Lynch Wealth Mgmt	Gilbert	.	.	.				725	1.81	3
22	N	Brian Crawford	Wells Fargo Advisors	Tucson	.	.	.	.			800	1.5	2.5
23	17	Jacob Duffy	Baird	Scottsdale	.	.	.			.	454	1.5	3
24	N	Mark Stein	Galvin, Gaustad and Stein	Scottsdale	.	.	.			.	802	1.75	3.25
25	27	Charles "Chip" Pisoni	RBC Wealth Mgmt	Scottsdale	.	.	.				390	1.9	3.9
26	26	Joe Di Vito	RBC Wealth Mgmt	Phoenix	.	.	.			.	400	2	5

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27	22	Kent Hughes	Merrill Lynch Wealth Mgmt	Phoenix	.	.	.				422	1.7	2.4
28	30	Sean Waltz	Merrill Lynch Wealth Mgmt	Phoenix	.	.	.	.			474	3.5	5
29	25	Trent Longnecker	Merrill Lynch Wealth Mgmt	Phoenix	.	.	.	.		.	462	2	3
30	28	Karekin Kaprelian	Ameriprise Financial	Scottsdale	.	.	.				310	0.75	1.2
<b>Arkansas</b>													
1	1	Allen Homra	Edward Jones	Stuttgart	.	.	.				\$670	\$0.88	\$1
2	3	Joseph Small	Ameriprise Financial	Little Rock	.	.					430	0.4	1
3	2	Hardy Winburn	UBS Financial Svcs	Little Rock		.	.			.	628	2.3	6
4	4	Douglas Jackson	Merrill Lynch Wealth Mgmt	Little Rock	.	.	.				2,115	5	7
5	5	Roy Gutierrez	UBS Financial Svcs	Little Rock	.	.	.			.	1,323	2.1	3.5
6	6	John Engskov	Merrill Lynch Wealth Mgmt	Little Rock	.	.	.				2,115	5	7
<b>California</b>													
1	1	Gregory Vaughan	Morgan Stanley PWM	Menlo Park		.	.	.	.		\$28,347	\$75	\$150
2	2	Andy Chase	Morgan Stanley PWM	Menlo Park	.	.	.			.	45,085	10	30
3	3	Mark T. Curtis	Morgan Stanley Graystone	Palo Alto	.	.	.	.	.	.	73,128	15	30
4	5	Karen McDonald	Morgan Stanley Wealth Mgmt	Palo Alto	.	.	.			.	68,954	3	10
5	7	Spuds Powell	Kayne Anderson Rudnick	Los Angeles		.	.				26,830	8.5	20
6	8	Mark Douglass	Morgan Stanley PWM	Menlo Park		.	.	.	.		28,347	75	150
7	14	Robert J. Skinner II	First Republic Investment Mgmt	Palo Alto		.	.	.			4,122	10	50
8	9	Jon Goldstein	First Republic Investment Mgmt	Palo Alto		.	.				5,007	50	100
9	10	Mark Schulten	Schulten Group of Wells Fargo	Long Beach	.	.	.			.	3,446	3	5
10	6	Eric Gray	Merrill Lynch PBIG	Los Angeles			.				11,001	60	80
11	N	David Hou	First Republic Investment Mgmt	Los Angeles		.	.	.			4,406	15	40
12	24	Alan Zafran	First Republic Investment Mgmt	Palo Alto		.	.	.			3,990	12	40
13	12	Alan Whitman	Morgan Stanley Wealth Mgmt	Pasadena	.	.	.				2,837	5	15
14	13	Troy Griep	Morgan Stanley PWM	San Francisco	.	.	.	.			9,629	50	50
15	17	Jeffrey Colin	Baker Street Advisors	San Francisco		.	.	.			7,600	20	30
16	11	Dorian McKelvy	Morgan Stanley Wealth Mgmt	Menlo Park	.	.	.				6,714	2	2.5
17	23	Dale Yahnke	Dowling & Yahnke	San Diego		.	.				4,106	4.26	25
18	16	Richard Jones	Merrill Lynch PBIG	Los Angeles			.	.	.	.	13,938	50	75
19	20	Drew Freides	UBS Financial Svcs	Los Angeles			.	.	.		3,986	35	50
20	18	Reza Zafari	Merrill Lynch PBIG	Los Angeles			.	.	.	.	13,938	50	75
21	35	Elaine Meyers	J.P. Morgan Securities	San Francisco		.	.				2,750	50	300
22	27	Dagny Maidman	First Republic Investment Mgmt	San Francisco		.	.				2,000	50	300
23	21	Dana Jackson	Morgan Stanley Graystone	Menlo Park		.	.			.	45,085	20	45
24	42	Gillian Yu	Morgan Stanley PWM	San Francisco			.				4,500	50	100
25	26	John Krambeer	Camden Capital	El Segundo	.	.	.	.			2,422	15	50
26	N	Mark Sear	First Republic Investment Mgmt	Los Angeles		.	.	.			2,878	15	40
27	41	Jim Berliner	Westmount Asset Mgmt	Los Angeles	.	.	.				3,235	3	8
28	30	Shane Brisbin	Morgan Stanley PWM	San Francisco		.	.				4,125	25	40
29	33	Laila Pence	Pence Wealth Mgmt	Newport Beach	.	.	.				1,656	2	5
30	31	Frank Reilly	Reilly Financial Advisors	La Mesa	.	.				.	2,082	2	6
31	29	Rich Petit	Morgan Stanley PWM	Menlo Park		.	.				10,358	50	100
32	28	Tim Emanuels	Morgan Stanley PWM	San Francisco		.	.				10,358	50	100
33	47	Craig Chiate	UBS Financial Svcs	Century City			.	.			4,200	40	50
34	N	Thomas Myers	Bordeaux Wealth Advisors	Menlo Park		.	.				2,250	20	30
35	15	Francis X. Malone	Morgan Stanley PWM	Los Angeles		.	.				5,290	25	75

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36	N	Eric Harrison	First Republic Investment Mgmt	Palo Alto		•	•	•			1,938	8	30
37	52	Richard Blosser	Wells Fargo Advisors	Los Angeles		•	•	•	•	•	2,151	25	40
38	43	Michael Kanigher	UBS Financial Svcs	Los Angeles		•	•				3,986	35	50
39	39	Randy Peterson	First Republic Investment Mgmt	San Francisco	•	•	•			•	1,232	8.7	15
40	49	Andrew Rand	Stifel	San Francisco	•	•	•	•		•	1,300	3	5
41	74	Greg Onken	J.P. Morgan Securities	San Francisco		•	•	•			3,550	15	25
42	32	John Shadden	Morgan Stanley PWM	Long Beach	•	•	•	•	•	•	3,279	7.5	18
43	44	Bruce Munster	Merrill Lynch PBIG	Los Angeles		•	•	•			1,704	42	100
44	45	John McNamee	Merrill Lynch PBIG	Los Angeles		•	•	•		•	4,505	50	100
45	58	Cheryl Young	Morgan Stanley Wealth Mgmt	Los Gatos	•	•	•				1,439	5	15
46	51	Roger Stephens	UBS Financial Svcs	Los Angeles			•		•	•	9,624	5	20
47	56	Mike Abrams	Wells Fargo Advisors	Palo Alto		•	•	•			5,725	25	40
48	34	Chad Evans	Morgan Stanley Wealth Mgmt	Newport Beach						•	14,136	150	500
49	53	Karl Wolfslau	Morgan Stanley Wealth Mgmt	Long Beach	•	•					1,290	0.85	2.5
50	40	Vicken Ekmekjian	CIBC PWM	San Francisco		•	•	•		•	2,365	25	35
51	61	Emily Van Hoorickx	UBS Financial Svcs	San Jose	•	•	•				5,944	2	10
52	54	Debbie Jorgensen	Merrill Lynch Wealth Mgmt	San Francisco		•	•	•	•		1,720	30	65
53	50	Tripp Taylor	Neuberger Berman	San Francisco		•	•	•			913	10	46
54	59	Alex Shahidi	Advanced Research Inv Solutions	Beverly Hills			•	•		•	11,135	20	25
55	60	Daniel Rothenberg	UBS Financial Svcs	Los Angeles		•	•		•	•	9,624	5	20
56	80	David Bahnsen	Bahnsen Group / HighTower Adv	Newport Beach		•	•			•	1,580	7	10
57	69	Ash Chopra	Merrill Lynch PBIG	San Francisco		•	•	•			3,283	50	75
58	38	Philippe Hartl	Merrill Lynch PBIG	Century City		•	•	•			5,833	45	80
59	37	Robert Inbody	Morgan Stanley Wealth Mgmt	La Jolla	•	•	•	•			3,167	10	10
60	63	Keith Tanabe	Merrill Lynch Wealth Mgmt	Los Angeles	•	•	•	•	•	•	6,056	5	10
61	66	George Papadoyannis	Ameriprise Financial	San Mateo	•	•					6,500	2.5	10
62	46	John Shuhda	Merrill Lynch PBIG	San Francisco		•	•	•		•	2,870	15	25
63	57	Robert Gallo	Merrill Lynch Wealth Mgmt	Walnut Creek	•	•	•			•	1,441	0.75	2.5
64	55	Inna Kelly	Morgan Stanley Wealth Mgmt	San Francisco		•	•			•	1,401	20	30
65	68	Jesse Bromberg	Morgan Stanley Wealth Mgmt	San Francisco		•	•			•	2,270	6	11.5
66	70	Brian Holmes	Signature Estate & Inv Advisors	Los Angeles	•	•	•				9,048	8	15
67	48	Debra Wetherby	Wetherby Asset Mgmt	San Francisco		•	•				4,997	20.5	40
68	25	Lance Marcus	Merrill Lynch Wealth Mgmt	Pasadena	•	•	•				3,718	5	10
69	67	Mike Burbank	Morgan Stanley PWM	San Francisco		•	•				1,799	25	70
70	86	Keith Webster	First Republic Investment Mgmt	Los Angeles		•	•	•			1,679	10	20
71	92	James Klein	Morgan Stanley PWM	Los Angeles		•	•	•			1,891	10	25
72	90	Lisa Reed	Neuberger Berman Inv Advisers	Los Angeles		•	•				860	7	10
73	36	Jon Neuhaus	Morgan Stanley PWM	Los Angeles		•	•				5,286	25	75
74	82	Renee Fourcade	UBS Financial Svcs	Los Angeles	•	•	•			•	4,293	10	5
75	N	Adam Goldstein	Ameriprise Financial	Calabasas	•	•	•				1,585	1	3.5
76	87	Sam Schoner	First Republic Investment Mgmt	San Francisco		•	•		•	•	1,743	5	10
77	72	Grant Lee	Morgan Stanley PWM	San Francisco		•	•				2,987	15	25
78	96	Chris Clifford	UBS Financial Svcs	San Francisco		•	•				1,431	18	30
79	85	Brian Firing	Wells Fargo Advisors	Beverly Hills		•	•				1,750	25	50
80	91	Sean Yu	Morgan Stanley PWM	Pasadena		•	•			•	946	12	50
81	83	Steven Weber	Morgan Stanley PWM	Newport Beach		•	•	•			2,300	30	50
82	N	Tom Palecek	Summit Trail Advisors	San Francisco		•	•	•			1,338	28	50



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83	81	Dan Murphy	Morgan Stanley Wealth Mgmt	Menlo Park	.	.	.			.	15,590	10	20
84	N	Roger Wacker	UBS Financial Svcs	Los Angeles		.	.			.	1,698	12	50
85	65	Gail Reid	Ameriprise Financial	Glendale	.	.		.			1,056	2.5	4
86	88	Michael Genovese	Genovese Burford & Brothers	Sacramento	.	.	.			.	3,116	0.9	2.5
87	N	Lisa Detanna	Raymond James	Beverly Hills	.	.	.	.		.	3,090	5	7
88	73	James McCabe	Wells Fargo Advisors	Beverly Hills	.	.	.	.			1,600	12	25
89	117	Michael Baumer	Morgan Stanley PWM	San Francisco		.	.				4,125	25	40
90	71	Michael van den Akker	Baker Street Advisors	San Francisco		.	.	.			7,600	20	30
91	79	Andrew Basch	Morgan Stanley PWM	Los Angeles		.	.			.	2,596	10	25
92	75	Gary Fournier	J.P. Morgan Securities	Los Angeles	.	.	.			.	1,313	10	25
93	N	William Wilson	UBS Financial Svcs	San Francisco	.	.	.	.	.		846	10	25
94	76	Kerrick Bubb	KWB Wealth Managers	Redlands	.	.	.			.	709	0.68	1.5
95	N	Brett Berry	First Republic Investment Mgmt	Menlo Park		.	.	.	.		809	7	20
96	106	Tom Blanchfield	Merrill Lynch PBIG	Newport Beach		.	.				2,022	30	50
97	98	David Molnar	HighTower San Diego	San Diego		.	.				1,209	10	10.79
98	114	Perry Richards	Merrill Lynch Wealth Mgmt	Beverly Hills	.	.	.	.		.	1,083	5	25
99	77	Richard Palmer	Morgan Stanley PWM	San Francisco	.	.	.	.	.		9,629	30	50
100	N	Harlan Spinner	UBS Financial Svcs	Los Angeles			.	.	.	.	1,700	30	40
101	62	Steve Winston	Morgan Stanley Wealth Mgmt	Los Angeles	.	.	.	.			1,312	5.6	8
102	95	Tracey Gluck	J.P. Morgan Securities	Los Angeles		.	.	.			1,100	10	25
103	N	Devon Porpora	First Republic Investment Mgmt	San Francisco	.	.	.	.	.		635	2	4
104	N	Mark Hebner	Index Fund Advisors	Irvine	.	.	.				1,360	3.59	3.59
105	99	David Jasper	UBS Financial Svcs	San Francisco		.	.	.			1,883	50	50
106	109	Sonny Kothari	Merrill Lynch Wealth Mgmt	Brea		.	.				1,048	3.8	5
107	100	Michael Evans	UBS Financial Svcs	San Francisco		.	.	.			1,883	50	50
108	107	Lawrence Smith	UBS Financial Svcs	Brea	.	.		.		.	1,036	1.5	2.5
109	97	Daniel Goldie	Dan Goldie Financial Svcs	Palo Alto	.	.	.				892	3	5
110	N	Ryan McClellan	UBS Financial Svcs	Los Angeles		.	.				3,049	30	50
111	N	Richard Gordon	First Republic Investment Mgmt	San Francisco		.	.	.			1,020	10	15
112	102	Philip Walters	Wells Fargo Advisors	Beverly Hills	.	.	.			.	4,105	5	15
113	105	Patrick Severo	RBC Wealth Mgmt	Beverly Hills		.	.	.		.	1,700	12.5	25
114	108	Micah Scheinberg	Alex. Brown / Raymond James	Century City		.	.				1,640	20	40
115	N	Vivek Thoppay	Merrill Lynch Wealth Mgmt	San Jose		.	.				636	4	6
116	N	Sean Bricmont	First Republic Investment Mgmt	San Francisco		.	.				951	3	10
117	N	Jeffrey Germain	Wells Fargo Advisors	Los Angeles		.	.				1,115	11	28
118	116	Michael Allard	CalBay Investments	Danville	.	.	.				892	1.4	3
119	103	Darren Pfefferman	Morgan Stanley PWM	San Diego		.	.	.	.	.	1,561	10	30
120	78	James Greenfield	Morgan Stanley PWM	San Francisco		.	.	.			1,340	20	30
<b>Colorado</b>													
1	1	Shawn Fowler	Morgan Stanley PWM	Denver		.	.			.	\$2,667	\$35	\$79
2	3	Wally Obermeyer	Obermeyer Wood Inv. Counsel	Aspen	.	.	.				1,635	3	10
3	4	Mark Smith	M.J. Smith / Raymond James	Greenwood Vil.	.	.	.				779	1.6	2.3
4	11	Melissa Corrado Harrison	UBS Financial Svcs	Denver		.	.				1,112	25	100
5	5	Carl Hoover	Merrill Lynch PBIG	Denver		.	.				2,397	15	25
6	8	Scott Thisted	Merrill Lynch PBIG	Denver		.	.				2,397	15	25
7	6	Donna Di Ianni	Merrill Lynch Wealth Mgmt	Aspen		.	.	.			916	8.5	20
8	7	Paul Kropatsch	Ameriprise Financial	Thornton	.	.					1,315	0.34	1.1

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9	13	Maxwell Bull	Morgan Stanley PWM	Denver		•	•			•	2,565	35	79
10	9	Andrew Lang	Lang Inv / Wells Fargo Adv FiNet	Littleton	•	•	•	•	•		1,120	5	7.5
11	10	Mark R. Brown	Brown and Company	Denver		•	•				495	7	15
12	15	Joseph Janiczek	Janiczek Wealth Mgmt	Denver	•	•	•				513	2.5	7
13	17	James Chapman	Merrill Lynch Wealth Mgmt	Englewood	•	•	•				467	2.2	5
14	12	Frank Caccavallo	Wells Fargo Advisors	Boulder	•	•	•				760	2	10
15	16	Brent Hablutzel	Merrill Lynch Wealth Mgmt	Greenwood Vil.	•	•	•				503	2.09	3
16	14	Brad Bickham	Colorado Financial Mgmt	Boulder	•	•	•	•			1,515	2	3
17	N	Michael Caplan	UBS Financial Svcs	Denver	•	•	•				629	7.5	10
18	N	Andrew Dodds	Dodds Wealth Mgmt Group	Englewood	•	•	•				494	5	8
<b>Connecticut</b>													
1	1	Jeff Erdmann	Merrill Lynch PBIG	Greenwich			•				\$8,329	\$35	\$60
2	16.*	Paul Tramontano	First Republic Investment Mgmt	Greenwich			•	•			3,126	40	100
3	2	William Greco	UBS Financial Svcs	Hartford	•	•	•			•	4,159	10	15
4	4	Brian Hetherington	Merrill Lynch PBIG	New Canaan		•	•				2,816	25	30
5	5	Harold Trischman	Morgan Stanley Wealth Mgmt	Greenwich	•	•	•	•	•	•	2,150	5	10
6	N	John Beirne	Beirne Wealth Consulting Svcs	Shelton	•	•	•			•	2,295	4	10
7	7	Ronald Weiner	RDM Financial Group	Westport	•	•	•			•	859	3.5	7
8	8	Thomas Vacheron	Merrill Lynch Wealth Mgmt	Fairfield		•	•				1,536	7.5	20
9	N	Nancy Daoud	Ameriprise Financial	Oxford	•	•					1,475	0.75	3.5
10	9	Terence Markey	Morgan Stanley PWM	Greenwich		•	•		•		5,624	25	50
11	6	Bryan Stepanian	Alex. Brown / Raymond James	Greenwich			•	•		•	1,350	100	150
12	11	Charles J. Noble III	Janney Montgomery Scott	New Haven	•	•	•	•	•	•	993	6	12
13	13	John Goodwin	Morgan Stanley PWM	Stamford		•	•	•			2,011	20	75
14	N	Ken Steeves	Creative Planning	Orange	•	•	•				1,055	0.7	1.84
15	12	Teresa Jacobsen	UBS Financial Svcs	Stamford		•	•	•	•	•	863	12	22
16	10	Peter Chieco	Morgan Stanley Wealth Mgmt	Greenwich	•	•	•				1,886	5	15
17	18	Rob Giannetti	Merrill Lynch PBIG	Greenwich			•				8,329	35	60
18	24	Will Gourd	UBS Financial Svcs	Stamford		•	•			•	2,286	20	25
19	14	Chris Jewell	Wells Fargo Advisors	Greenwich		•	•	•		•	1,200	6	35
20	17	David Goodwin	Merrill Lynch Wealth Mgmt	Hartford	•	•	•			•	690	6	12
21	19	Ivan Dolgins	Merrill Lynch Wealth Mgmt	Stamford	•	•	•			•	1,767	0.75	1
22	15	Matthew Somberg	Gottfried & Somberg Wealth Mgmt	Glastonbury	•	•	•			•	770	2.5	3
23	N	Chris North	Creative Planning	Fairfield	•	•	•				535	0.56	1.07
24	20	Lawrence Haertel	UBS Financial Svcs	Greenwich	•	•	•				784	3	5
25	N	Michael Freeburg	Greenwich Wealth Mgmt	Greenwich		•	•				1,477	10	25
26	N	Chad Czerwinski	UBS Financial Svcs	Hartford	•	•	•				1,862	5	10
27	23	Linus Cooke	Merrill Lynch Wealth Mgmt	New Canaan	•	•	•				2,039	3.5	7.5
28	32	Richard T. Goodwin	Merrill Lynch Wealth Mgmt	Madison	•	•	•				2,315	3	5
29	27	James Noonan	Merrill Lynch Wealth Mgmt	Mystic	•	•	•				499	2	3.5
30	25	Thomas Markey	Morgan Stanley Wealth Mgmt	Stamford	•	•	•			•	1,039	5	8
31	28	Thomas Mantione	UBS Financial Svcs	Stamford		•	•				1,130	17	25
32	29	James Iannazzo	Merrill Lynch Wealth Mgmt	Stamford	•	•	•	•			514	3	5
33	16	Thomas Lips	UBS Financial Svcs	Hartford	•	•	•				1,647	4	5
34	30	William Donnell	Merrill Lynch PBIG	Greenwich		•	•	•	•		1,230	5	20
35	N	Thomas Hutchison	Merrill Lynch Wealth Mgmt	New Haven	•	•	•			•	1,549	3.5	5
36	33	Anthony Fagella	Alex. Brown / Raymond James	Greenwich	•	•	•				1,350	10	25

\* Paul Tramontano was formerly NY

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
<b>District of Columbia</b>													
1	1	Marvin McIntyre	Morgan Stanley PWM	Washington	.	.	.	.			\$4,038	\$7.50	\$25
2	2	Michael O'Neill	Morgan Stanley PWM	Washington		.	.				1,889	25	30
3	3	John M. Williams	Morgan Stanley PWM	Washington	.	.	.	.	.		2,128	20	35
4	4	Brett Anthony	Morgan Stanley Wealth Mgmt	Washington	.	.	.	.		.	3,964	10	25
5	7	James Wohlgemuth	Morgan Stanley PWM	Washington		.	.				837	14	20
6	10	H.L. "Trip" McLaughlin III	Morgan Stanley Wealth Mgmt	Washington	.	.	.	.		.	3,971	10	25
7	13	Michael Freiman	Morgan Stanley Wealth Mgmt	Washington	.	.	.				1,549	1.25	2.5
8	5	John Reim	Morgan Stanley PWM	Washington		.	.				1,267	10	20
9	11	Keith Apton	UBS Financial Svcs	Washington		.	.			.	1,443	6	30
10	9	Tom Rietano	Merrill Lynch PBIG	Washington		.	.	.	.		6,215	10	25
11	15	Frederick Shreves	Wells Fargo Advisors	Washington	.	.	.	.	.	.	2,500	3	10
12	12	Sandra Cunningham	UBS Financial Svcs	Washington	.	.	.	.	.	.	1,751	10	25
13	17	William Slater	Merrill Lynch PBIG	Washington		.	.				1,363	8	15
14	N	Hammond Han	UBS Financial Svcs	Washington	.	.	.	.	.	.	1,186	5	10
15	23	John McKnight	Merrill Lynch Wealth Mgmt	Washington	.	.	.				1,321	1	5
16	21	Paul Goree	Merrill Lynch Wealth Mgmt	Washington	.	.	.				1,421	5.5	8.25
17	18	Skip Moosher	Morgan Stanley Wealth Mgmt	Washington	.	.	.				1,287	7	10
18	N	William Finnerty	UBS Financial Svcs	Washington	.	.	.				521	10	25
19	14	Richard Harris	Alex. Brown / Raymond James	Washington	.	.	.	.			2,475	7.5	25
20	22	Gregory Marcus	UBS Financial Svcs	Washington		.	.				579	25	50
21	6	Barry Haffner	UBS Financial Svcs	Washington	.	.	.				753	5	10
22	16	Todd Kingsley	Alex. Brown / Raymond James	Washington	.	.	.	.			2,665	7.5	25
23	20	David Stuart	Morgan Stanley Wealth Mgmt	Washington	.	.	.				907	2.3	3
24	N	Neil Kishter	Merrill Lynch PBIG	Washington		.	.			.	879	5	7.5
<b>Delaware</b>													
1	1	Kimberlee Orth	Ameriprise Financial	Wilmington	.	.	.			.	\$2,498	\$5.32	\$13.43
2	4	Michael Koppenhaver	Merrill Lynch Wealth Mgmt	Dover	.	.	.			.	704	1.9	5
3	2	Donald DeWees Sr.	Wells Fargo Advisors	Greenville	.	.	.	.		.	995	2	4
4	N	Thomas Rice	Merrill Lynch Wealth Mgmt	Wilmington	.	.	.				1,450	3.5	7
5	N	David Plaza	Merrill Lynch Wealth Mgmt	Wilmington	.	.	.			.	381	2	5
6	3	Peni Warren	Merrill Lynch Wealth Mgmt	Dover	.	.	.			.	633	1.5	2
<b>Florida</b>													
1	1	Thomas Moran	Wells Fargo Advisors	Naples	.	.	.			.	\$4,227	\$5	\$25
2	2	Patrick Dwyer	Merrill Lynch PBIG	Miami		.	.				3,855	25	40
3	3	Adam Carlin	Morgan Stanley PWM	Coral Gables		.	.	.			2,545	17.5	25
4	5	Louis Chiavacci	Merrill Lynch PBIG	Coral Gables		.	.				2,985	35	75
5	7	Sal Tiano	J.P. Morgan Securities	Palm Beach		.	.				3,327	20	35
6	6	Clarke Lemons	WaterOak Advisors	Winter Park	.	.	.	.			2,052	3	6
7	N	Roy Apple	J.P. Morgan Securities	Palm Beach	.	.	.				2,130	4	10
8	4	Charles Mulfinger II	Morgan Stanley Graystone	Tampa	.	.	.			.	6,672	9.7	11
9	N	Christopher Aitken	UBS Financial Svcs	Ponte Vedra		.	.				1,504	25	50
10	27	Michael Bober	UBS Financial Svcs	Boca Raton		.	.				1,972	12	25
11	22	Sean Fetterman	UBS Financial Svcs	Boca Raton		.	.			.	1,880	20	30
12	10	Robert Doyle	Doyle Wealth Mgmt	St. Petersburg	.	.	.				924	1.2	4
13	26	Ed Ventrice	UBS Financial Svcs	Boca Raton		.	.				1,972	12	25
14	30	Ghislain Gouraige	UBS Financial Svcs	Coral Gables		.	.			.	2,439	30	50

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
15	8	Don d'Adesky	Raymond James	Boca Raton	.	.	.			.	3,725	5	10
16	14	Peter Bermont	Raymond James	Coral Gables		.	.	.	.		1,772	12.5	29
17	13	Margaret Starner	Raymond James	Coral Gables	.	.	.				1,109	5	7
18	15	Todd Moll	Provenance Wealth / Ray. James	Fort Lauderdale	.	.	.	.		.	2,400	7	30
19	16	Eric Glasband	Merrill Lynch Wealth Mgmt	Boca Raton		.	.				962	4.2	10
20	12	Robert Edwards	Wells Fargo Advisors	Naples	.	.	.			.	4,227	5	25
21	N	Marc Singer	Singer Xenos Wealth Mgmt	Coral Gables	.	.	.	.			1,597	2.75	7.1
22	24	Michael McCain	Wells Fargo Advisors	Naples	.	.	.				2,003	10	15
23	29	Jason Stephens	UBS Financial Svcs	Naples		.	.				944	10	20
24	28	David Emma	HighTower Naples	Naples	.	.	.				1,375	5	20
25	19	Kenneth Burke	Merrill Lynch Wealth Mgmt	Heathrow		.	.				1,221	15	25
26	N	Randy Hallier	Creative Planning	Cape Coral	.	.	.				876	0.53	1.36
27	20	William Merriam	Merrill Lynch Wealth Mgmt	Jacksonville	.	.	.	.	.	.	987	2	5
28	46	Thais Piotrowski	Ameriprise Financial	Boca Raton	.	.	.				907	0.75	1.2
29	18	John Elwaw	Morgan Stanley Wealth Mgmt	Miami	.	.	.				1,479	10	100
30	9	Andrew Schultz	Morgan Stanley PWM	Miami Beach		.	.				703	10	20
31	N	Keith Rowling	Merrill Lynch Wealth Mgmt	Boca Raton	.	.	.				648	8	15
32	39	Michael Shapiro	Morgan Stanley Wealth Mgmt	Boca Raton	.	.	.				690	1.9	3
33	33	Barry Rubin	Merrill Lynch Wealth Mgmt	Delray Beach	.	.	.				865	4	8
34	31	Louise Armour	J.P. Morgan Securities	Palm Beach		.	.			.	3,327	12	30
35	N	Lucas Haber	Merrill Lynch Wealth Mgmt	Daytona Beach	.	.	.				552	1.5	3
36	35	Roy Borrego	Merrill Lynch Wealth Mgmt	Punta Gorda	.	.	.			.	3,718	1	2.5
37	50	Steven Wagner	Omnia Family Wealth	Aventura		.	.				1,756	30	50
38	43	Michael Barron	UBS Financial Svcs	West Palm Beach	.	.	.			.	962	3.5	5
39	21	Richard Altieri	Morgan Stanley Wealth Mgmt	Boca Raton	.	.	.	.		.	876	5	10
40	54	Dominic Casanueva	Merrill Lynch Wealth Mgmt	Sarasota	.	.	.			.	3,918	1	2.5
41	53	Adam Rosenfeld	Merrill Lynch Wealth Mgmt	Miami		.	.				713	30	100
42	67	Michael Silver	Baron Silver Stevens Finl Adv	Boca Raton	.	.	.	.		.	603	1	4
43	64	Gregory O'Hare	Merrill Lynch PBIG	Palm Beach		.	.				802	15	30
44	56	Raymond Ferrara	ProVise Mgmt Group	Clearwater	.	.	.	.		.	1,314	1.25	2.5
45	55	Steve McGovern	Merrill Lynch Wealth Mgmt	Stuart	.	.	.				654	5	7
46	59	Scott Pinkerton	Pinkerton Private Wealth	Sarasota	.	.	.	.		.	1,078	5.35	9.2
47	60	Matt Kilgroe	UBS Financial Svcs	St. Petersburg	.	.	.				925	6	11
48	44	Aimee Cogan	Morgan Stanley Wealth Mgmt	Sarasota	.	.	.	.	.		865	10	20
49	45	William LaPato	Merrill Lynch Wealth Mgmt	Boca Raton	.	.	.				624	5	8
50	47	Rachel Barzilay	Merrill Lynch Wealth Mgmt	Boca Raton		.	.			.	886	6	10
51	62	Damion Carufe	J.P. Morgan Securities	Palm Beach	.	.	.				940	7	15
52	23	Janet Franco Gordon	Morgan Stanley Wealth Mgmt	Coral Gables	.	.	.				914	6	15
53	58	David Abelson	Morgan Stanley Wealth Mgmt	Palm Harbor	.	.	.			.	484	2.7	6
54	N	Adam Meinrod	Raymond James	Jacksonville	.	.	.			.	933	1	2
55	N	Chris Leeper	Raymond James	Jacksonville	.	.	.			.	933	1	2
56	77	Kevin Johnson	Morgan Stanley PWM	Naples		.	.				332	20	25
57	74	Conrad Santiago	Ameriprise Financial	Orlando	.	.	.				666	0.5	1.5
58	51	Gregory Pollock	Merrill Lynch Wealth Mgmt	Rockledge	.	.	.			.	836	3.5	5
59	N	Trevor Fried	Morgan Stanley Wealth Mgmt	Fort Lauderdale	.	.	.				651	2	5
60	38	J. Thomas Smith	UBS Financial Svcs	Palm Beach	.	.	.			.	784	10	15
61	76	Erick Ellsweig	Merrill Lynch Wealth Mgmt	West Palm Beach		.	.	.			1,227	3.5	5



# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
62	N	Michelle Mayer	Merrill Lynch PBIG	Naples		*	*	*			711	15	50
63	61	Nadine Miller	Morgan Stanley Wealth Mgmt	Miami	*	*	*				424	1.5	5
64	32	Brian Shambo	Merrill Lynch Wealth Mgmt	Vero Beach	*	*	*				720	4.2	7.5
65	63	Stephen Ruvituso	Merrill Lynch PBIG	Jupiter		*	*				1,000	15	30
66	75	Jeff Camarda	Camarda Wealth Advisory Group	Fleming Island	*	*					235	1	3
67	65	Elbert Bledsoe	Merrill Lynch Wealth Mgmt	Jacksonville	*	*	*				982	7	10
68	71	Miguel Viyella	Wells Fargo Advisors	Miami	*	*	*			*	774	10	20
69	69	Mickey Silverman	Raymond James	Boca Raton	*	*	*				1,700	5	10
70	72	Keith Jacoby	Wells Fargo Advisors	Naples	*	*	*				2,003	10	15
71	79	A. Perry Chappell	Merrill Lynch Wealth Mgmt	Jacksonville		*	*				1,373	5	10
72	N	Craig Walker	Merrill Lynch Wealth Mgmt	Jacksonville		*	*				1,373	5	10
73	78	Raymond McGovern	Merrill Lynch Wealth Mgmt	Ft Walton Beach	*	*	*	*	*	*	756	2.5	5
74	81	Adam Fetterman	UBS Financial Svcs	Boca Raton		*	*			*	1,880	20	30
75	N	Douglas Patton	Stifel	Pensacola		*	*			*	442	2.5	4
76	73	Thomas Ruggie	Ruggie Wealth Mgmt	Tavares	*	*	*			*	1,051	1.36	2.8
77	N	Douglas Simon	Alex. Brown / Raymond James	Palm Beach		*	*	*	*	*	553	8.5	15
78	83	William Hoyt	Raymond James	St. Petersburg	*	*	*	*	*	*	652	2.5	5
79	37	Eileen Berman	UBS Financial Svcs	West Palm Beach		*	*			*	1,004	20	50
80	41	Brian Lowenthal	UBS Financial Svcs	West Palm Beach		*	*			*	1,004	20	50
81	N	Joel Manings	Merrill Lynch Wealth Mgmt	St. Petersburg	*	*	*				484	1	2
82	N	Christopher Niles	UBS Financial Svcs	Fort Lauderdale	*	*	*			*	646	1.5	3
83	N	Nick Sadowsky	UBS Financial Svcs	Boca Raton		*	*	*			1,492	20	50
84	84	Mark Miller	Merrill Lynch Wealth Mgmt	Boca Raton	*	*	*				801	2	6
<b>Georgia</b>													
1	1	Rod Westmoreland	Merrill Lynch PBIG	Atlanta		*	*				\$5,090	\$40	\$50
2	4	Robert Balentine	Balentine	Atlanta		*	*		*	*	3,078	18.43	25
3	3	Brian Frank	Morgan Stanley PWM	Atlanta		*	*	*			2,509	5	20
4	2	James Hansberger	Morgan Stanley PWM	Atlanta		*	*	*			1,359	35	60
5	5	Keith Mericka	UBS Financial Svcs	Atlanta		*	*				3,213	25	40
6	19	Wes Moss	Capital Investment Advisors	Atlanta	*	*	*				2,309	1.5	2.5
7	9	Vandyke Price	UBS Financial Svcs	Atlanta		*	*			*	3,043	30	40
8	8	Earle Dodd	UBS Financial Svcs	Atlanta		*	*		*	*	6,969	350	700
9	12	Andy Berg	Homrich Berg	Atlanta	*	*	*				5,760	3.5	7
10	N	Michael Merlin	Morgan Stanley PWM	Atlanta	*	*	*	*			1,270	10	25
11	17	Bert Ponder	Merrill Lynch PBIG	Atlanta		*	*	*			2,942	15	30
12	13	Buck Wiley III	Merrill Lynch PBIG	Atlanta		*	*		*		2,394	20	30
13	15	Ron Hughes	Merrill Lynch PBIG	Atlanta		*	*				1,107	45	50
14	16	John Montgomery	UBS Financial Svcs	Atlanta		*	*			*	1,341	18	40
15	11	Allen Wright	UBS Financial Svcs	Atlanta	*	*	*	*	*	*	5,247	150	500
16	21	Jay Jowers	Merrill Lynch PBIG	Atlanta		*	*	*			2,942	20	30
17	7	Gene Henssler	Henssler Financial	Kennesaw	*	*	*			*	1,933	1.17	2.15
18	10	Andrew Harbour	Morgan Stanley Graystone	Atlanta		*	*	*	*	*	3,065	3	5
19	26	Michael Hines	Consolidated Planning / RJames	Atlanta	*	*	*			*	734	2.5	4
20	30	Ryan Smith	Northwestern Mutual	Atlanta	*	*	*				713	2.5	5
21	N	Scott Thompson	CIBC PWM	Atlanta		*	*	*		*	3,694	17.84	25
22	N	Barry Berlin	CIBC PWM	Atlanta		*	*	*	*		3,694	8.87	20
23	N	James Kaufman	Merrill Lynch Wealth Mgmt	Atlanta	*	*	*	*	*	*	80,990	10	25

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
24	N	Kevin Higginbotham	Merrill Lynch Wealth Mgmt	Atlanta	.	.	.	.	.	.	80,990	15	40
25	27	Al Parker	Morgan Stanley PWM	Atlanta			.				1,523	50	75
26	N	Roger Green	Green Financial Resources	Duluth	.	.	.				501	0.21	0.45
27	23	Leslie Lauer	UBS Financial Svcs	Atlanta		.	.			.	3,213	22	40
28	N	Jake Bryant	Ameriprise Financial	Atlanta	.	.	.			.	810	2.5	4
29	29	Graham Roberts	Morgan Stanley Wealth Mgmt	Atlanta		.	.				1,422	5	10
<b>Hawaii</b>													
1	2	Peter Backus	Morgan Stanley Graystone	Honolulu	.	.	.	.	.	.	\$1,528	\$2	\$5
2	1	Alan Kodama	Ameriprise Financial	Honolulu	.						410	0.28	1.6
3	4	Peter Ehrman	Morgan Stanley Wealth Mgmt	Honolulu	.	.	.	.		.	1,059	1.35	3
4	N	Jason Miyashita	Raymond James	Maite	.	.	.	.	.	.	1,400	5	10
5	3	Christina Hom	Morgan Stanley Wealth Mgmt	Honolulu	.	.				.	334	1.5	3
6	5	James Bennett	Morgan Stanley PWM	Honolulu	.	.	.	.		.	1,084	1.35	3
<b>Idaho</b>													
1	N	Robert Brian King	RBC Wealth Mgmt	Eagle	.	.	.	.	.	.	\$850	\$3	\$15
2	3	Bruce Davidson	Wells Fargo Advisors	Boise	.	.	.	.			401	2	6
3	5	Justin Samples	Ameriprise Financial	Boise	.	.	.			.	1,075	1	2
4	6	Uwe Ruttke	Merrill Lynch Wealth Mgmt	Boise	.	.	.				643	4	8
5	2	Robert Bracco	Merrill Lynch Wealth Mgmt	Boise	.	.	.				427	3.5	5
6	N	Erik Boe	RBC Wealth Mgmt	Ketchum	.	.	.	.	.	.	850	3	15
<b>Illinois</b>													
1	1	Steven Hefter	Wells Fargo Advisors	Highland Park		.	.				\$6,080	\$15	\$20
2	2	John Cultra	William Blair	Chicago		.	.	.			3,398	17.5	25
3	9	Thomas Wilson	William Blair	Chicago		.	.				2,367	10	15
4	4	Michael Gallagher	Morgan Stanley Wealth Mgmt	Chicago	.	.	.			.	3,269	1.5	5
5	8	Brian Hahn	Neuberger Berman	Chicago		.	.				1,750	20	50
6	3	Steven Weinstein	Altair Advisers	Chicago		.	.				4,702	15	30
7	6	Paul Tashima	UBS Financial Svcs	Chicago			.	.		.	26,398	90	100
8	15	Thomas Salvino	William Blair	Chicago	.	.	.	.	.	.	1,576	3.75	10
9	7	Raj Bhatia	Merrill Lynch PBIG	Chicago		.	.			.	1,608	15	25
10	30	Andrew Griffo	Morgan Stanley Wealth Mgmt	Chicago		.	.			.	9,197	10	20
11	13	Scott Magnesen	Morgan Stanley Wealth Mgmt	Oak Brook	.	.	.				3,550	1.01	1.5
12	23	Jay Maltby	UBS Financial Svcs	Chicago		.	.	.			2,220	10	15
13	12	John Ver Bockel	Merrill Lynch PBIG	Chicago		.	.				4,465	20	50
14	10	Mark Birringer	Morgan Stanley Wealth Mgmt	Chicago			.				8,362	50	125
15	16	Shawn Parker	Ameriprise Financial	Schaumburg	.	.	.			.	2,200	2.5	5
16	18	John Joyce	Morgan Stanley PWM	Chicago			.				8,362	50	125
17	17	Matthew Dillig	Dillig Bowen Group at HighTower	Chicago		.	.	.			1,600	35	50
18	14	James Whitney	Morgan Stanley Graystone	Chicago		.	.	.	.	.	2,685	45	50
19	20	Sharon Oberlander	Merrill Lynch Wealth Mgmt	Chicago		.	.				1,171	5.7	12
20	21	Maureen Raihle	Merrill Lynch PBIG	Chicago		.	.				4,465	20	50
21	25	Louise Lane	William Blair	Chicago	.	.	.	.			1,201	2	6
22	22	David Wilkinson	Morgan Stanley PWM	Chicago		.	.	.			970	35	40
23	35	Walter Gondeck	The Lerner Group at HighTower	Deerfield	.	.	.			.	1,440	5	10
24	38	Daniel Grant	William Blair	Chicago		.	.				1,254	15	25
25	24	Louis Paster	UBS Financial Svcs	Chicago			.	.		.	26,398	90	100
26	43	Stewart Mather	The Mather Group	Chicago			.				2,857	25	35

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
27	27	Tom Kilborn	Merrill Lynch Wealth Mgmt	Northbrook	.	.	.				1,022	4	6
28	44	Daniel Fries	Merrill Lynch Wealth Mgmt	Chicago	.	.	.				912	3	7
29	36	Mark Thorndyke	Merrill Lynch Wealth Mgmt	Chicago	.	.	.				1,324	6	8
30	32	Michael Schaffer	Wells Fargo Advisors	Lake Forest		.	.	.		.	2,978	10	20
31	29	Kristina Van Liew	Morgan Stanley Graystone	Chicago		.	.	.	.		8,114	150	100
32	31	Linda Stephans	Morgan Stanley Graystone	Chicago		.	.	.	.		8,114	150	100
33	26	James Oberheide	Morgan Stanley PWM	Chicago		.	.	.			3,477	50	75
34	N	James M. Moriarity	Morgan Stanley PWM	Chicago			.	.		.	2,200	40	50
35	46	Ajay Desai	UBS Financial Svcs	Chicago		.	.	.		.	2,290	50	200
36	19	Brad DeHond	Morgan Stanley PWM	Chicago			.	.		.	2,200	40	50
37	39	Joseph Silich	Morgan Stanley Wealth Mgmt	Chicago		.	.				1,090	7	12
38	42	Benjamin Klein	Merrill Lynch Wealth Mgmt	Northbrook	.	.	.				825	3.5	5
39	40	Joseph Kartheiser	Morgan Stanley PWM	Chicago		.	.				1,457	15	25
40	55	Russell McLean	Wells Fargo Advisors	Oak Brook	.	.	.				1,682	4	8
41	37	David Wright	Merrill Lynch PBIG	Chicago		.	.	.			2,382	25	40
42	28	Michael Sakach	Morgan Stanley Graystone	Chicago		.	.				2,693	35	55
43	34	Michael Cohen	Morgan Stanley Wealth Mgmt	Chicago	.	.					1,738	1.5	2
44	45	Wesley Tate	UBS Financial Svcs	Oakbrook	.	.	.				563	3.5	5
45	54	Dan Hoffmann	Morgan Stanley Wealth Mgmt	Chicago	.	.					3,129	2.5	2.5
46	53	Sean Lannan	Morgan Stanley Wealth Mgmt	Chicago	.	.					3,129	2.5	2.5
47	50	Todd Moser	Ameriprise Financial	Barrington	.	.	.				1,862	2.5	5
48	N	Robert Fix	William Blair	Chicago	.	.	.				1,071	1.5	5
49	N	Steve Elkins	William Blair	Chicago	.	.	.				917	1	5
50	51	Benjamin Leshem	Wells Fargo Advisors	Highland Park		.	.				6,080	15	20
51	57	David Sheppard	Merrill Lynch Wealth Mgmt	Deer Park		.	.				991	6	8
52	59	Kevin Lynch	Morgan Stanley PWM	Chicago		.	.	.			1,266	36	50
53	33	Kyle Chudom	Morgan Stanley Wealth Mgmt	Oak Brook	.	.	.				862	1	3
54	N	Brian Speers	Merrill Lynch Wealth Mgmt	Oak Brook	.	.	.			.	1,352	3.5	5
55	56	Christopher Garrity	Merrill Lynch Wealth Mgmt	Northbrook	.	.	.				1,440	3	6
56	41	Steven Porter	Merrill Lynch Wealth Mgmt	Northbrook	.	.	.				674	2.5	5
57	60	David Tracy	Wells Fargo Advisors	Chicago		.	.				2,428	7.9	10
58	52	John Hammond	Merrill Lynch Wealth Mgmt	Northbrook	.	.	.				985	5	8
59	58	Robert Siracusano	Wells Fargo Advisors Finl Network	Naperville	.	.	.				725	2.5	3
60	N	Peter Lee	Summit Trail Advisors	Chicago		.	.			.	1,967	20	55
<b>Indiana</b>													
1	1	Wm. Craig Dobbs	Morgan Stanley Graystone	Indianapolis						.	\$27,924	\$224.43	\$170.27
2	3	John Perry	Morgan Stanley Wealth Mgmt	Indianapolis	.	.	.				1,228	20	25
3	5	John Cate	Merrill Lynch Wealth Mgmt	Indianapolis		.	.			.	15,043	5	7
4	2	Martin Gregor	Merrill Lynch PBIG	Indianapolis		.	.	.	.	.	3,685	25	50
5	4	Eric Payne	Merrill Lynch Wealth Mgmt	Indianapolis	.	.	.				1,671	5	7.5
6	6	Christopher Moore	Ameriprise Financial	Fort Wayne	.	.	.				846	2	3.6
7	7	Ronald Mencias	Merrill Lynch Wealth Mgmt	Indianapolis	.	.	.				1,671	5	7.5
8	9	Jonathan Klausner	Morgan Stanley Wealth Mgmt	Indianapolis	.	.	.			.	2,033	4	11
9	8	Daniel Finley	Merrill Lynch Wealth Mgmt	Fort Wayne	.	.	.			.	991	2	4
10	13	John Duffy	Morgan Stanley Wealth Mgmt	Indianapolis	.	.	.			.	2,033	4	11
11	10	Matthew Kahn	Merrill Lynch Wealth Mgmt	South Bend	.	.	.			.	778	5	8
12	11	Christopher Brooke	Merrill Lynch Wealth Mgmt	Indianapolis		.	.				465	5	9

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
13	N	Randy Lehman	Summit Financial Group	Fort Wayne	.	.				.	496	0.41	2
14	12	Scott Saxe	Wells Fargo Advisors	Evansville	.	.	.			.	650	1.5	2
15	14	K. Gregory Akers	Merrill Lynch Wealth Mgmt	Indianapolis	.	.	.			.	944	2	3
16	N	Morris Hancock	Merrill Lynch Wealth Mgmt	Indianapolis	.	.	.				585	3	3.5
17	N	J. Trent Cowles	Merrill Lynch Wealth Mgmt	Indianapolis	.	.	.				697	3.2	4
18	18	Kevin McMullen	Merrill Lynch Wealth Mgmt	Indianapolis	.	.	.				845	1.7	1.9
<b>Iowa</b>													
1	1	Matt Fryar	Wells Fargo Advisors	Des Moines	.	.	.				\$750	\$5	\$7
2	2	Timothy Finucan	Edward Jones	Webster City	.	.	.				587	0.75	2
3	N	Bryan Boesen	Morgan Stanley Wealth Mgmt	Des Moines	.	.	.	.			415	5	10
4	6	Jerry Ask	Jerry K. Ask Inv Svcs / Ray. James	Cedar Rapids	.	.	.				400	0.45	0.75
5	3	Jeffrey Brandt	Ameriprise Financial	Fort Dodge	.	.	.				639	0.25	1
6	4	Keith Swanson	Morgan Stanley PWM	West Des Moines	.	.	.			.	1,200	10	15
<b>Kansas</b>													
1	N	Tim Sutton	Creative Planning	Overland Park	.	.	.				\$945	\$0.39	\$1.23
2	N	Jay Beebe	Creative Planning	Overland Park	.	.	.				1,004	0.68	1.67
3	N	Brett Broyles	Creative Planning	Overland Park	.	.	.				676	0.44	1.19
4	2	Richard Homuth	Merrill Lynch Wealth Mgmt	Leawood	.	.	.	.	.	.	888	7.8	8
5	N	Todd Shepherd	Creative Planning	Overland Park	.	.	.				499	0.27	1.01
6	1	Scott Ferguson	Morgan Stanley Wealth Mgmt	Leawood	.	.	.	.	.		804	3	5
<b>Kentucky</b>													
1	1	Barry Barlow	Merrill Lynch Wealth Mgmt	Louisville	.	.	.				\$824	\$4	\$7.50
2	3	Mitch Settle	Hilliard Lyons	Owensboro	.	.	.				637	0.8	1.7
3	6	Travis Musgrave	Merrill Lynch Wealth Mgmt	Lexington	.	.	.			.	634	5	6
4	7	Douglas Kisker	Ameriprise Financial	Crestview Hills	.	.	.				545	2.25	2.5
5	2	Pete Mahurin	Hilliard Lyons	Bowling Green	.	.	.				1,474	0.39	1
6	N	Dean Donohue	Ameriprise Financial	Louisville	.	.	.			.	567	1.7	2.8
7	5	Philip McCauley	Morgan Stanley Wealth Mgmt	Louisville	.	.	.	.			916	5	10
8	10	Scott Kelly	Merrill Lynch PBIG	Louisville	.	.	.			.	1,412	75	100
9	9	Dan Foutch	Hilliard Lyons	Glasgow	.	.	.	.		.	584	1	2
10	8	Robert Schneider	Morgan Stanley Wealth Mgmt	Louisville	.	.	.				427	3	5
11	N	Edmund Nasief	UBS Financial Svcs	Louisville	.	.	.				651	9	10
12	4	Thomas Perrone	Morgan Stanley Wealth Mgmt	Louisville	.	.	.			.	720	1.23	2.1
<b>Louisiana</b>													
1	1	James "Rick" Frayard	UBS Financial Svcs	Lafayette	.	.	.	.		.	\$2,287	\$2.10	\$3
2	3	Charles Simmons	Ameriprise Financial	Metairie	.	.	.			.	2,615	0.75	1.8
3	2	Curtis Eustis	Merrill Lynch Wealth Mgmt	New Orleans	.	.	.	.	.	.	1,065	8.5	20
4	4	Robert Bickham	UBS Financial Svcs	New Orleans	.	.	.	.	.	.	4,782	75	75
5	5	James Spiro	Morgan Stanley Wealth Mgmt	New Orleans	.	.	.	.			979	1	5
6	6	Scott Hardie	Merrill Lynch Wealth Mgmt	New Orleans	.	.	.				1,243	5	7
<b>Maine</b>													
1	1	Todd Doolan	Morgan Stanley Wealth Mgmt	Portland	.	.	.			.	\$348	\$2.10	\$3
2	3	Brian Clement	RBC Capital Markets	Portland	.	.	.	.		.	490	1	4
3	4	Gibson Wilkes	White Pine Wealth at HighTower	Portland	.	.	.				518	1.5	2
4	2	Jeremiah Burns	Morgan Stanley PWM	Portland	.	.	.			.	494	5	20
5	6	Thomas Gower III	UBS Financial Svcs	Bangor	.	.	.				456	2.47	3.42
6	N	Robertson Breed	F.L.Putnam Investment Mgmt	Portland	.	.	.	.	.	.	1,946	3	7

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
<b>Maryland</b>													
1	1	Robert Scherer	Morgan Stanley Graystone	Potomac		•	•	•	•	•	\$9,092	\$25	\$25
2	2	Kent Pearce	Merrill Lynch Wealth Mgmt	Towson		•	•				1,811	7	15
3	5	Joseph Jacques	Jacques Financial	Rockville	•	•					898	0.96	2.35
4	3	Greg Hurlbrink	Morgan Stanley Wealth Mgmt	Baltimore	•	•	•	•	•	•	1,174	5.5	15
5	N	Ted Smith	UBS Financial Svcs	Baltimore		•	•				1,496	40	100
6	6	E. Geoffrey Sella	SPC Financial / Raymond James	Rockville	•	•					818	0.85	1.6
7	4	Barry Garber	Alex. Brown / Raymond James	Baltimore		•	•			•	3,221	15	25
8	7	Jeff Leventhal	HighTower Bethesda	Bethesda	•	•	•				975	3	10
9	8	Larry Boggs	Wells Fargo Advisors	Cumberland	•	•	•			•	1,190	3	5
10	9	Ross Charkatz	Morgan Stanley Graystone	Potomac	•	•	•	•	•	•	9,088	25	25
11	17	Peter Maller	Maller Wealth Advisors	Hunt Valley	•	•	•				800	2.2	7.5
12	10	James Meek	Morgan Stanley Graystone	Baltimore		•	•	•	•	•	1,800	32	35
13	11	Peter Dunne	Merrill Lynch Wealth Mgmt	Bethesda		•	•	•	•	•	2,796	30	40
14	13	Brett Clifford	Morgan Stanley PWM	Baltimore		•	•				1,284	5	25
15	14	Gregory Baker	Merrill Lynch Wealth Mgmt	Bethesda	•	•	•				1,049	3	4.5
16	15	Jonathan Murray	UBS Financial Svcs	Hunt Valley	•	•	•			•	1,348	1.5	3
17	20	Patricia Baum	RBC Wealth Mgmt	Annapolis		•	•	•		•	1,576	3	8
18	12	Ann Marie Etergino	RBC Wealth Mgmt	Chevy Chase		•	•	•	•	•	1,101	7	15
19	24	Brian Kroneberger	RBC Wealth Mgmt	Hunt Valley	•	•	•			•	1,075	3	6
20	23	Frank Dingle	RBC Wealth Mgmt	Baltimore	•	•	•				824	1.6	3.5
21	18	Richard Wagener	Wagener-Lee / Raymond James	Columbia	•	•	•	•		•	760	1.8	3.5
22	N	Paul Anderson	UBS Financial Svcs	Bethesda	•	•	•				767	2.5	5
23	22	John Lynch	Lynch Retirement / Ray. James	Fulton	•	•					581	1.5	2.5
24	N	James Holzapfel	Wells Fargo Advisors	Hagerstown	•	•	•	•	•	•	902	2	3
<b>Massachusetts</b>													
1	1	Greg Miller	Wellesley Asset Mgmt	Wellesley	•	•	•				\$2,839	\$2	\$5
2	4	Raj Sharma	Merrill Lynch PBIG	Boston			•				9,096	10	15
3	3	Peter Princi	Morgan Stanley Graystone	Boston		•	•	•	•	•	6,100	7.5	20
4	7	Kevin Grimes	Grimes	Westborough	•	•	•				2,547	3	10
5	6	Susan Kaplan	Kaplan Financial Svcs	Newton		•	•				2,000	3.5	11
6	8	Daniel Wiener	Adviser Investments	Newton	•	•	•				5,876	1.47	2.5
7	5	Richard F. Connolly	Morgan Stanley PWM	Boston	•	•	•	•	•	•	7,800	20	30
8	11	Thomas Bartholomew	Bartholomew	Worcester	•	•	•			•	1,950	1.2	5
9	13	William Sullivan	UBS Financial Svcs	Boston		•	•				2,164	15	25
10	12	Charles S. Bean III	Heritage Financial Svcs	Westwood	•	•	•				1,520	3	5
11	9	Brian Strachan	Morgan Stanley PWM	Boston		•	•	•			1,053	10	18
12	14	John Lechner	Morgan Stanley PWM	Boston		•	•				3,095	25	100
13	18	Devin Condron	Morgan Stanley PWM	Boston		•	•				1,435	25	50
14	19	Mary Mullin	Merrill Lynch Wealth Mgmt	Boston		•	•				2,355	5	12
15	16	Raju Pathak	Morgan Stanley Wealth Mgmt	Boston		•	•				1,329	8	20
16	23	Daniel Wilson	Ameriprise Financial	Auburndale	•	•					2,073	0.41	3
17	15	Stephen Kelliher	Morgan Stanley Wealth Mgmt	Norwell	•	•	•	•	•	•	3,475	10	10
18	17	John Spooner	Morgan Stanley Wealth Mgmt	Boston	•	•	•				1,036	1.5	8
19	20	Peter Noonan	J.P. Morgan Securities	Boston	•	•	•				2,301	15	75
20	24	Gary McGuirk	Merrill Lynch PBIG	Boston		•	•				2,869	30	55
21	25	Richard Miller	Ameriprise Financial	Wellesley	•	•	•			•	1,000	0.58	1.5

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'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
22	22	David Javaheri	Morgan Stanley Wealth Mgmt	Wellesley		•	•				1,248	5	12
23	21	Ira Rapaport	New England Private Wealth Adv	Wellesley		•	•			•	1,869	6.78	10
24	27	Paul McCauley	Merrill Lynch PBIG	Boston		•	•				2,864	25	55
25	N	Mark Joyce	Merrill Lynch Wealth Mgmt	Boston	•	•	•				1,621	3	10
26	28	Timothy Connolly	UBS Financial Svcs	Boston	•	•	•	•			1,047	15	25
27	26	James Geraghty	Morgan Stanley PWM	Boston		•	•	•			1,200	60	80
28	N	Ramzi Nuwayhid	Merrill Lynch PBIG	Boston		•	•				1,476	15	25
29	30	James Fucigna	Morgan Stanley Wealth Mgmt	Boston	•	•	•			•	2,706	5	10
30	N	Mark Winthrop	Winthrop Wealth Mgmt	Westborough	•	•	•			•	1,550	3.5	8.5
<b>Michigan</b>													
1	1	Charles C. Zhang	Zhang Financial	Portage	•	•	•				\$3,325	\$2	\$3
2	2	David Kudla	Mainstay Capital Mgmt	Grand Blanc	•	•	•			•	2,475	0.7	3
3	4	Dana Locniskar	Merrill Lynch PBIG	Troy		•	•				2,909	15	25
4	5	Gary Ran	Telemus Capital	Southfield	•	•	•				2,732	2.64	8
5	3	Philip Appel	Merrill Lynch Wealth Mgmt	Bloomfield Hills		•	•				2,487	4	6
6	8	Jeffrey Fratarcangeli	Fratarcangeli Wealth Mgmt	Birmingham	•	•	•			•	1,250	5	10
7	6	Brian Brice	Morgan Stanley Graystone	Birmingham	•	•	•			•	4,350	5	10
8	7	Kevin VanDyke	Bloomfield Hills Financial	Bloomfield Hills	•	•				•	1,514	2	4
9	N	Timothy Long	Merrill Lynch Wealth Mgmt	Grand Rapids		•	•	•	•	•	6,055	7.5	10
10	11	William Messner	Morgan Stanley Graystone	Birmingham	•	•	•	•	•	•	7,400	10	5
11	N	Kenneth Fruehauf	Wells Fargo Advisors	Grosse Pointe	•	•	•			•	2,188	5	10
12	9	Timothy Brice	Morgan Stanley Graystone	Birmingham	•	•	•			•	4,351	5	10
13	10	Leon LaBrecque	LJPR Financial Advisors	Troy	•	•					776	0.7	1.5
14	20	Leo Stevenson	Merrill Lynch Wealth Mgmt	Wyandotte	•	•	•				667	1.5	2
15	15	Melissa Spickler	Merrill Lynch Wealth Mgmt	Bloomfield Hills	•	•	•			•	1,172	2	5
16	18	Frank Moore	Vintage Financial Svcs	Ann Arbor	•	•					667	1.3	2
17	N	James Veldheer	Merrill Lynch Wealth Mgmt	Grand Rapids	•	•	•	•	•	•	6,055	10	15
18	24	Jon Vigi	UBS Financial Svcs	Birmingham	•	•	•	•	•	•	1,215	20	50
19	12	David Brazen	Ameriprise Financial	Troy	•	•					1,876	2	3
20	19	Marie Vanerian	Merrill Lynch Wealth Mgmt	Troy		•	•			•	2,230	20	20
21	13	Abraham Post	Merrill Lynch Wealth Mgmt	Troy		•	•			•	848	4	10
22	16	Richard Bloom	Bloom Asset Mgmt	Farmington Hills	•	•					1,193	0.6	1
23	21	Sherri Stephens	Stephens Wealth / Ray. James	Flint	•	•	•				607	2	3
24	14	Frank Migliazzo	Merrill Lynch PBIG	Troy		•	•	•	•	•	1,432	25	40
25	23	Michael Rosloniec	Morgan Stanley Graystone	Grand Rapids		•	•	•	•	•	5,596	105	205
26	26	Bruce Johnson	CapTrust	Holland	•	•	•			•	1,117	3	10
27	N	Todd Kephart	Retirement Income Solutions	Ann Arbor	•	•					1,870	1.55	5.5
28	N	Bruce Ballard	Merrill Lynch Wealth Mgmt	Farmington Hills	•	•	•				1,447	5	5
29	28	Todd Sanford	Sanford Finl Svcs / Ray. James	Portage	•	•				•	850	1	1.5
30	N	James Kruzan	Kaydan Wealth / Ray. James	Fenton	•	•					476	1.5	2
<b>Minnesota</b>													
1	4	Peter Eckerline	Merrill Lynch Wealth Mgmt	Wayzata	•	•	•				\$2,135	\$7	\$15
2	1	Richard S. Brown	JNBA Financial Advisors	Minneapolis	•	•	•				1,475	1.5	2
3	2	Brad Wheelock	RBC Wealth Mgmt	Saint Cloud	•	•	•	•	•		1,800	3	5
4	3	Christina Boyd	Merrill Lynch Wealth Mgmt	Wayzata	•	•	•			•	1,250	6	15
5	6	Ben Marks	Marks Group Wealth Mgmt	Minnetonka	•	•	•	•		•	1,143	2	5
6	7	Thomas Drees	Morgan Stanley Wealth Mgmt	Bloomington	•	•	•				780	8	15



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'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
7	5	Rob Nelson	NorthRock Partners	Minneapolis		•	•				1,320	10	20
8	8	Charlie Kelley	Compass Capital Mgmt	Minneapolis		•	•	•		•	1,225	3	5
9	N	Jesse Bull	UBS Financial Svcs	Wayzata	•	•	•			•	639	3	5
10	N	Michael Erpelding	Erpelding Wealth Mgmt	Edina	•	•				•	550	1	1
11	9	Judy Fredrickson	UBS Financial Svcs	Minneapolis		•	•				1,532	12	25
12	10	Mark Joern	Ameriprise Financial	Minneapolis		•	•				800	1.6	3
13	12	Charles Major	UBS Financial Svcs	Minneapolis		•	•	•		•	1,656	10	20
14	11	Jacob Creydt	UBS Financial Svcs	Bloomington	•	•	•			•	977	3.5	5
15	N	Michael Slaggie	Slaggie Capital / Ray. James	Winona			•	•	•		1,081	1	2
16	18	Randall Ehleringer	Ameriprise Financial	Rochester	•	•	•				1,350	0.4	2
17	15	Royal Jaros	Ameriprise Financial	Apple Valley	•	•					823	0.9	2.2
18	16	Michael Swenson	Merrill Lynch PBIG	Wayzata			•	•	•		1,057	18	30
19	21	Robert Swiller	UBS Financial Svcs	Minneapolis	•	•	•				666	2	10
20	17	David A. Olson	Morgan Stanley Wealth Mgmt	Rochester	•	•	•			•	868	3.5	7
21	23	William Sukup	LPL Financial	Woodbury	•	•	•				500	1	1.5
22	13	Louis Close	UBS Financial Svcs	Minneapolis		•	•	•		•	1,656	10	20
23	14	Randy Doroff	Ameriprise Financial	St. Louis Park	•	•	•				594	0.56	1.2
24	20	Scott Kauls	Merrill Lynch Wealth Mgmt	Minneapolis	•	•	•				661	3	4
<b>Mississippi</b>													
1	1	Michael Dowell	Morgan Stanley Wealth Mgmt	Jackson	•	•	•	•		•	\$1,308	\$5	\$7
2	3	Bill Rush Mosby	UBS Financial Svcs	Jackson	•	•	•			•	1,017	1.5	4
3	N	John McRae	Raymond James	Meridian	•	•	•	•	•	•	711	0.4	1
4	2	Arthur Finkelberg	Raymond James	Ridgeland	•	•	•				499	1.5	2
5	6	Lynn Phillips-Gaines	Phillips Financial	Starkville	•	•	•				259	1	2
6	N	Wheeler Parker	Merrill Lynch Wealth Mgmt	Ridgeland	•	•	•				461	1	2
<b>Missouri</b>													
1	1	Michael Jefferies	UBS Financial Svcs	Kansas City	•	•	•			•	\$4,171	\$1	\$3
2	2	Michael Moeller	Wells Fargo Advisors	Chesterfield	•	•	•			•	1,730	3	4
3	3	Bud King	UBS Financial Svcs	St. Louis	•	•	•				1,150	8	20
4	7	Diane Compardo	Moneta Group	Clayton		•	•				1,400	12	18
5	6	Dennis Williams	Morgan Stanley PWM	Kansas City	•	•	•				1,078	7	12.5
6	4	Seth Murray	Ameriprise Financial	Springfield	•	•					2,351	0.55	2
7	8	James Hise	Merrill Lynch PBIG	Kansas City	•	•	•				1,190	16	18
8	13	Gordon Hamilton III	Morgan Stanley Wealth Mgmt	Kansas City	•	•					1,104	1	1
9	12	Barbara Archer	HighTower St. Louis Wealth Adv	St. Louis	•	•					1,216	2	4
10	16	Mark Wilkins	UBS Financial Svcs	St. Louis		•	•				516	28	50
11	14	Robert Millner	Morgan Stanley Wealth Mgmt	Clayton	•	•	•				1,162	2	5
12	11	Bradford Koeneman	Moneta Group	Clayton		•	•			•	670	7	10
13	N	Scott Underwood	Socium Advisors	St. Louis	•	•	•			•	522	0.43	3.25
14	10	Kathleen Youngerman	Morgan Stanley PWM	Chesterfield	•	•	•	•			288	15	25
15	17	James Moore	Wells Fargo Advisors	Clayton	•	•	•	•		•	750	2	4
16	N	John Gatewood	Northwestern Mutual Inv. Svcs	St. Louis	•	•					473	0.55	1.28
17	N	Michael Lowry	Merrill Lynch PBIG	Kansas City	•	•	•				990	15	18
18	9	Ron Penney	Ameriprise Financial	Springfield	•	•					2,351	0.55	2
<b>Montana</b>													
1	1	James B. Stack	Stack Financial Mgmt	Whitefish	•	•	•				\$1,278	\$1.50	\$5
2	3	William Royer	UBS Financial Svcs	Billings	•	•	•				949	3	7

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3	4	Todd Vralsted	UBS Financial Svcs	Billings	.	.	.	.			949	3	7
4	5	Jared Losing	Ameriprise Financial	Missoula	.	.					533	0.27	2
5	6	Scott Knutson	Wells Fargo Advisors	Helena	.	.	.			.	383	1.53	6
6	N	Jim Kubiak	Edward Jones	Bozeman	.	.	.				247	0.77	1.75
<b>Nebraska</b>													
1	N	Ryan Swartz	Creative Planning	Omaha	.	.	.				\$1,866	\$0.67	\$1.82
2	1	Jonathan Beukelman	UBS Financial Svcs	Lincoln		.	.	.		.	1,638	4	20
3	2	Andrew Robinson	Morgan Stanley Wealth Mgmt	Omaha	.	.	.				1,358	8.5	15
4	3	Jason Dworak	UBS Financial Svcs	Lincoln		.	.			.	740	7.5	20
5	5	John Garlock	RBC Wealth Mgmt	Omaha	.	.	.	.		.	870	1.7	3.5
6	4	Richard Kelley	RBC Wealth Mgmt	Omaha	.	.	.	.		.	1,732	0.27	10
<b>Nevada</b>													
1	1	Randy Garcia	Investment Counsel	Las Vegas	.	.	.			.	\$1,088	\$4.50	\$10
2	N	Mark Binder	UBS Financial Svcs	Las Vegas		.	.	.			4,200	40	50
3	2	Deborah Danielson	Danielson Financial / LPL Financial	Las Vegas	.	.	.				686	2	5
4	3	Andy Ferguson	Merrill Lynch Wealth Mgmt	Las Vegas		.	.	.			641	7	15
5	6	James Small	UBS Financial Svcs	Las Vegas	.	.	.				759	1.45	4
6	5	Nadine Faulis	Merrill Lynch Wealth Mgmt	Las Vegas	.	.	.				726	6	20
<b>New Hampshire</b>													
1	2	Robert Bonfiglio	Ameriprise Financial	Bedford	.	.	.				\$512	\$2	\$3
2	1	John Habig	Morgan Stanley Wealth Mgmt	Portsmouth	.	.	.				359	1.5	3
3	3	Brian Doyle	Wells Fargo Advisors	Hanover	.	.	.	.	.	.	690	4	7
4	4	Sean Doyle	Merrill Lynch Wealth Mgmt	Manchester	.	.	.				402	1.78	2.5
5	5	Stephen Loughman	Wells Fargo Advisors	Hanover	.	.	.				475	3	7
6	6	Marc Chareth	Edward Jones	Milford	.	.					368	0.8	1
<b>New Jersey</b>													
1	2	David Weinerman	Morgan Stanley Wealth Mgmt	Florham Park	.	.	.				\$4,382	\$8	\$15
2	6	Mary Deatherage	Morgan Stanley PWM	Little Falls		.	.	.		.	2,781	10	25
3	4	Michael Ricca	Morgan Stanley Wealth Mgmt	Florham Park	.	.	.				4,373	8	15
4	3	Daniel Schwartz	UBS Financial Svcs	Paramus		.	.				1,930	10	25
5	8	L. Marc Shegoski	UBS Financial Svcs	Princeton		.	.	.		.	22,950	21	89
6	9	Mark Lippman	Wells Fargo Advisors	Linwood	.	.	.			.	1,582	2.5	4
7	7	Frank Seminara	Morgan Stanley PWM	Florham Park		.	.				1,462	10	20
8	N	Brian Kazanchy	RegentAtlantic	Morristown	.	.	.				3,762	5	7.5
9	30	Joseph Matina	UBS Financial Svcs	Short Hills	.	.	.				1,639	5	10
10	5	John Micera	RBC Wealth Mgmt	Florham Park	.	.	.			.	1,345	5	10
11	11	Bruce Pomerantz	Wells Fargo Advisors	Short Hills	.	.	.				1,648	7	15
12	13	Christopher Cook	Merrill Lynch Wealth Mgmt	Florham Park		.	.				2,002	5	10
13	10	Christopher Cordaro	RegentAtlantic	Morristown	.	.	.				3,900	3.2	10
14	1	Gary Tantleff	UBS Financial Svcs	Warren		.	.				4,361	9.25	10
15	14	Elliott Kugel	Merrill Lynch Wealth Mgmt	Bridgewater	.	.	.			.	1,554	2.5	4
16	12	Thomas Meyer	Meyer Capital Group	Marlton	.	.	.				1,131	1.5	3
17	16	James McLaughlin	Princeton Wealth Adv / Ray. James	Princeton	.	.	.				1,975	5	10
18	15	Mark Tobias	Morgan Stanley Wealth Mgmt	New Brunswick	.	.	.				1,022	4.5	10.5
19	31	Michael Bonevento	Ameriprise Financial	Wall	.	.	.				1,420	3	7.5
20	17	Mark Fendrick	UBS Financial Svcs	Mt. Laurel	.	.	.				959	4	5
21	20	Andy Schwartz	Bleakley Financial Group	Fairfield	.	.				.	1,445	2.5	5

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
22	24	Richard Udine	Morgan Stanley Wealth Mgmt	Mt. Laurel	.	.	.				939	3	4.5
23	21	Bruce Gsell	Merrill Lynch Wealth Mgmt	Iselin	.	.				.	12,674	3	5
24	22	Jack Burke	Merrill Lynch Wealth Mgmt	Florham Park	.	.	.				4,292	6.5	15
25	N	Michael Gara	UBS Financial Svcs	Princeton	.	.	.	.	.	.	5,028	2.5	10
26	18	Stephen Pollard	Merrill Lynch Wealth Mgmt	Princeton		.	.				1,618	5	9
27	26	Christopher Zangari	UBS Financial Svcs	Westfield	.	.	.			.	2,954	5	10
28	19	James Maltese	Merrill Lynch Wealth Mgmt	Iselin	.	.	.				1,468	2	5
29	29	Goran Bojovski	Merrill Lynch Wealth Mgmt	Iselin	.	.				.	12,674	2.5	5
30	N	Jack Riley	UBS Financial Svcs	Warren		.	.				2,394	10	15
31	32	Patricia Bell	Merrill Lynch Wealth Mgmt	Short Hills	.	.	.				696	3	5
32	34	Ken Schapiro	Condor Capital Mgmt	Martinsville	.	.	.				1,062	1.85	3.7
33	N	Steven Rothman	UBS Financial Svcs	Red Bank	.	.	.			.	689	1	3
34	36	Francis Bitterly	Morgan Stanley Wealth Mgmt	Red Bank	.	.	.				590	2.7	7
35	47	Lawrence Catena	Merrill Lynch PBIG	Florham Park	.	.	.				850	25	50
36	39	William Munn	Ameriprise Financial	Paramus	.	.					667	0.75	3
37	37	Alan Spierer	UBS Financial Svcs	Westfield	.	.	.			.	2,954	5	10
38	N	Michael Axelrod	Bleakley Financial Group	Fairfield	.	.	.			.	500	2	4
39	41	Neil McPeak	Wells Fargo Advisors	Linwood	.	.	.			.	1,249	1	2
40	33	Christine McGinley	UBS Financial Svcs	Mt. Laurel	.	.	.			.	812	4	10
41	35	Anthony Valente	Morgan Stanley Wealth Mgmt	Shrewsbury	.	.	.			.	1,721	0.5	1.5
42	28	Bruce Barth	Merrill Lynch Wealth Mgmt	Short Hills	.	.	.				1,211	2	3.5
43	44	John Hudspeth	Merrill Lynch Wealth Mgmt	Mt. Laurel	.	.	.	.	.	.	888	3	6
44	N	David Briegs	Merrill Lynch Wealth Mgmt	Bridgewater	.	.	.			.	1,554	2.5	4
45	N	David Comito	Merrill Lynch Wealth Mgmt	Florham Park	.	.	.				1,726	2.5	5
46	N	Karl Kreshpane	UBS Financial Svcs	Paramus	.	.	.				641	2.8	5
47	43	Charles Malamut	Morgan Stanley Wealth Mgmt	Northfield	.	.	.	.	.	.	779	3	7.5
48	40	David Finkel	Merrill Lynch Wealth Mgmt	Egg Harbor	.	.	.				834	4	6
<b>New Mexico</b>													
1	2	Michael Blackwell	Morgan Stanley Wealth Mgmt	Albuquerque	.	.	.				\$606	\$1.50	\$2
2	4	C. B. "Pete" Edmonds	UBS Financial Svcs	Albuquerque	.	.				.	2,027	25	105
3	1	John Moore	John Moore / Raymond James	Albuquerque	.	.	.				566	1.5	3
4	5	Charles Reardon	Morgan Stanley Wealth Mgmt	Albuquerque	.	.	.			.	712	1.5	3
5	6	Michael Stevens	Merrill Lynch Wealth Mgmt	Albuquerque	.	.	.	.	.		850	2.5	5
6	N	Brian Cochran	John Moore / Raymond James	Albuquerque	.	.	.				566	1.5	3
<b>New York</b>													
1	1	Lyon Polk	Morgan Stanley PWM	New York		.	.	.			\$14,249	\$50	\$100
2	2	Brian Pfeiffer	Morgan Stanley PWM	New York		.	.	.	.		11,900	50	100
3	7	Ron Basu	Morgan Stanley PWM	New York			.	.		.	5,150	10	100
4	4	Richard Saperstein	Treasury Partners	New York		.	.	.		.	10,227	20	25
5	6	Seth Finkel	Neuberger Berman	New York		.	.	.		.	3,069	10	20
6	5	Kevin Peters	Morgan Stanley Wealth Mgmt	Purchase		.	.			.	6,850	14.8	39.5
7	10	Ron Vinder	Morgan Stanley PWM	New York			.	.			6,572	50	150
8	12	Ed Moldaver	Stifel	New York		.	.				6,450	10	20
9	13	Martin Halbfinger	UBS Financial Svcs	New York		.	.	.		.	3,782	20	35
10	19	Gregg Fisher	Gerstein Fisher	New York	.	.	.	.	.	.	2,472	1.79	4.16
11	9	Scott Wilson	Morgan Stanley PWM	New York	.	.	.				5,501	7.5	10
12	14	Stephanie Stiefel	Neuberger Berman	New York		.	.			.	2,261	15	45

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
13	22	Scott Stackman	UBS Financial Svcs	New York		*	*	*	*		4,069	50	100
14	15	William Peterson	Neuberger Berman	New York		*	*				1,978	6.5	13
15	49	Russell Rabito	UBS Financial Svcs	New York	*	*	*		*		4,119	10	15
16	11	Robert Stolar	Morgan Stanley PWM	New York			*	*	*		2,201	50	75
17	21	Noel Weil	Merrill Lynch PBIG	New York		*	*				14,080	25	50
18	25	Jason Katz	UBS Financial Svcs	New York	*	*	*				2,627	10	15
19	26	Jeffrey Kobernick	UBS Financial Svcs	New York		*	*	*			7,387	32	50
20	27	Robert Sechan	UBS Financial Svcs	New York		*	*	*			7,387	32	50
21	23	Holly Newman Kroft	Neuberger Berman	New York		*	*		*		1,800	7	15
22	17	Josh Gully	Morgan Stanley PWM	New York		*	*	*	*		3,773	50	75
23	29	Leigh Cohen	Merrill Lynch PBIG	New York		*	*				14,075	15	50
24	31	Deborah Montaperto	Morgan Stanley PWM	New York		*	*	*			6,844	50	100
25	28	John Olson	Merrill Lynch Wealth Mgmt	New York		*	*				1,985	8	15
26	39	Sarat Sethi	DCLA	New York		*	*			*	5,751	4.8	17
27	47	Virginia Guy	Neuberger Berman	New York		*	*	*			1,390	7	15
28	38	Joshua Malkin	Morgan Stanley PWM	New York		*	*				1,800	10	25
29	35	Gerard Klingman	Klingman / Raymond James	New York	*	*	*				2,201	10	15
30	33	Ira Mark	RBC Wealth Mgmt	New York		*	*			*	3,300	10	25
31	41	Michael Poppo	UBS Financial Svcs	New York		*	*	*	*	*	1,405	8	12
32	36	Jonathan Kass	Merrill Lynch Wealth Mgmt	New York	*	*	*			*	6,082	10	25
33	48	Thomas Sullivan	Merrill Lynch Wealth Mgmt	Garden City		*	*				2,349	3	7
34	43	Jonathan Kuttin	Ameriprise Financial	Melville	*	*	*				1,832	1	3.9
35	53	Frank Marzano	GM Advisory Group	Melville	*	*	*				1,702	8	30
36	32	Mark Donohue	Morgan Stanley PWM	New York	*	*	*	*		*	2,403	20	40
37	52	John Barrett	Merrill Lynch Wealth Mgmt	New York		*	*	*	*		1,794	10	25
38	66	Jim Detterick	Morgan Stanley Graystone	New York		*	*			*	20,544	13.04	20
39	N	Daniel Kilmurray	UBS Financial Svcs	New York	*	*	*				5,292	10	20
40	34	Evan Steinberg	Morgan Stanley PWM	New York		*	*	*		*	1,871	8	12
41	56	Andrew Perry	UBS Financial Svcs	New York		*	*			*	6,642	20	30
42	N	Michael Sawyer	Morgan Stanley PWM	New York			*				2,121	50	100
43	118	Ken Witover	UBS Financial Svcs	New York		*	*				2,180	30	50
44	37	Peter Svarre	Merrill Lynch Wealth Mgmt	New York		*	*				1,666	12	30
45	50	Lewis Altfest	Altfest Personal Wealth Mgmt	New York	*	*	*				1,347	2	5
46	59	Theodore Serure	J.P. Morgan Securities	New York	*	*	*	*		*	1,841	10	50
47	63	Douglas Braff	UBS Financial Svcs	New York	*	*	*				6,978	5	20
48	74	David Magrone	Merrill Lynch PBIG	New York		*	*				2,799	30	50
49	57	P. J. Schwarz	Morgan Stanley PWM	New York		*	*	*			2,383	20	40
50	42	Glenn Degenaars	First Republic Investment Mgmt	New York		*	*		*	*	1,492	10	15
51	44	Steven Grill	Alex. Brown / Raymond James	New York		*	*				12,500	15	20
52	46	Joseph Karas	Morgan Stanley PWM	New York		*	*	*	*	*	1,416	25	40
53	45	Bill Grous	Alex. Brown / Raymond James	New York		*	*				12,500	15	20
54	75	Robert Waldele	Merrill Lynch Wealth Mgmt	New York		*	*	*	*		2,917	18	30
55	65	Daniel O'Connell	Merrill Lynch Wealth Mgmt	Garden City		*	*	*	*	*	2,372	15	30
56	71	Todd Perry	UBS Financial Svcs	New York		*	*			*	6,642	20	30
57	69	Jordan Waxman	HSW Advisors / HighTower	New York		*	*				2,500	25	50
58	93	W. Corby May	Merrill Lynch Wealth Mgmt	Garden City		*	*			*	1,966	7.5	17
59	79	Peter Rukeyser	UBS Financial Svcs	New York		*	*	*	*		2,510	40	50

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
60	94	Leo Marzen	Bridgewater Advisors	New York		•	•				1,485	5	7.5
61	62	Doug Mellert	Merrill Lynch Wealth Mgmt	New York	•	•	•	•	•	•	8,584	10	25
62	70	Anna Winderbaum	Morgan Stanley PWM	New York		•	•				2,222	25	50
63	80	Alexander Williams	UBS Financial Svcs	New York		•	•	•	•		2,510	40	50
64	73	Joseph Lerner	Morgan Stanley PWM	New York		•	•				2,222	25	50
65	64	Todd DiScala	Merrill Lynch Wealth Mgmt	New York	•	•	•	•	•	•	8,584	10	25
66	51	Bruce Burrows	Morgan Stanley Wealth Mgmt	Garden City	•	•	•			•	12,173	5	20
67	82	Rod Aronson	Morgan Stanley PWM	New York		•	•	•		•	2,250	25	35
68	91	Vishal Bakshi	Merrill Lynch PBIG	New York		•	•				1,581	75	200
69	84	Alyssa Moeder	Merrill Lynch PBIG	New York		•	•			•	6,209	25	100
70	N	Elizabeth Weikes	J.P. Morgan Securities	New York	•	•	•				2,358	25	25
71	68	Neil Mitchell	Morgan Stanley PWM	New York		•	•	•		•	1,681	15	25
72	76	Michael Chrys	Merrill Lynch Wealth Mgmt	Latham	•	•	•	•		•	3,887	25	27.5
73	N	Robert McGinty	Merrill Lynch PBIG	New York	•	•	•			•	14,498	29.6	40
74	88	Richard Salvino	Merrill Lynch PBIG	New York		•	•			•	6,209	25	100
75	95	Jason Babb	First Republic Investment Mgmt	New York	•	•	•				1,037	5	5
76	78	Andrew P. Kraus	Merrill Lynch PBIG	New York	•	•	•			•	14,498	29.6	40
77	89	Louise Gunderson	UBS Financial Svcs	New York	•	•	•				896	4.7	12.7
78	N	Adam Epstein	UBS Financial Svcs	New York		•	•	•	•	•	1,200	14	25
79	80	William King	Merrill Lynch Wealth Mgmt	New York		•	•	•	•		1,174	8	22
80	106	Brian Bennett	UBS Financial Svcs	New York	•	•	•			•	704	3	10
81	90	William Einstein	UBS Financial Svcs	New York		•	•				1,568	5	10
82	N	Anthony Rundella	UBS Financial Svcs	New York	•	•	•				4,920	2.5	5
83	40	David Bieber	Morgan Stanley PWM	New York		•	•	•			862	20	50
84	N	James Ryan	Merrill Lynch PBIG	New York		•	•	•	•	•	7,068	50	100
85	N	Courtney Moore	Merrill Lynch PBIG	New York		•	•	•	•	•	7,068	50	100
86	96	Robert Dyer	Merrill Lynch PBIG	New York		•	•				1,096	40	65
87	N	Christiane Olsen	UBS Financial Svcs	New York	•	•	•				5,292	7	12
88	58	John Sargent	Morgan Stanley PWM	New York	•	•	•				1,400	25	75
89	104	Harold Elish	UBS Financial Svcs	New York	•	•	•			•	1,394	5	8
90	111	Jacqueline Willens	UBS Financial Svcs	New York	•	•	•			•	941	5	10
91	102	Peter Arbes	Neuberger Berman	New York		•	•				817	3.5	8
92	103	Lawrence Liebers	Merrill Lynch Wealth Mgmt	New York		•	•				1,647	13	25
93	107	Glenn Arthurs	UBS Financial Svcs	Buffalo	•	•	•	•		•	2,254	3	6
94	114	William Cafero	RCL Advisors	New York		•	•				2,184	9.85	24
95	105	Todd Silaika	Merrill Lynch Wealth Mgmt	Clifton Park		•	•				1,205	3	4.5
96	85	David Beyer	Morgan Stanley Wealth Mgmt	New York	•	•	•				1,750	8	25
97	N	Geri Pell	Ameriprise Financial	Rye Brook	•	•	•	•			1,424	2.27	5.1
98	N	Abe Borenstein	First Republic Investment Mgmt	New York		•	•			•	2,097	3	6
99	115	Ryan Lewis	Morgan Stanley PWM	New York		•	•				2,442	30	50
100	100	Marc Fischer	Morgan Stanley Graystone	Rochester		•	•	•	•	•	2,730	40	40
101	110	Susan Kingsolver	Morgan Stanley PWM	New York	•	•	•				1,142	20	75
102	N	Charles Temel	UBS Financial Svcs	New York	•	•	•	•	•	•	1,940	6	10
103	108	Eric Propper	CIBC PWM	New York		•	•	•		•	7,275	23.01	30
104	N	Charles Balducci	Merrill Lynch Wealth Mgmt	New York		•	•	•	•		2,173	16	25
105	N	Greg DelMonte	Stifel	New York	•	•	•			•	1,330	1	10
106	N	Jeffrey Winik	Morgan Stanley Wealth Mgmt	New York	•	•	•				3,914	3	9

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
107	N	Eric Snyder	Merrill Lynch Wealth Mgmt	New York	.	.	.	.	.		2,173	16	25
108	112	Joseph Eisler	Morgan Stanley PWM	New York	.	.	.				2,000	30	70
109	N	Renato Reali	UBS Financial Svcs	New York	.	.	.	.	.		2,510	20	50
110	N	Leonard Boccia	UBS Financial Svcs	New York	.	.	.				1,408	3	5
111	N	Paul Vigue	UBS Financial Svcs	New York	.	.	.	.	.		2,510	20	25
112	N	Mihir Patel	Morgan Stanley PWM	New York	.	.					594	5	20
113	N	Larry Rothenberg	First Republic Investment Mgmt	New York	.	.					1,400	5	10
114	N	Shaun Van Vliet	First Republic Investment Mgmt	New York	.	.					1,400	5	10
115	113	Michael Siden	Morgan Stanley Wealth Mgmt	New York	.	.	.	.	.		950	17	45
116	N	Jeffrey Klotz	UBS Financial Svcs	New York	.	.	.			.	4,301	5	25
117	N	William Schoff	UBS Financial Svcs	Rochester	.	.	.			.	1,496	10	25
118	N	Allen Saunders	UBS Financial Svcs	New York	.	.	.	.			741	9.5	20
119	109	Frank J. Sabia	UBS Financial Svcs	White Plains	.	.	.			.	3,472	5	15
120	N	John Adelman	UBS Financial Svcs	New York	.	.	.				1,640	5	10
<b>North Carolina</b>													
1	2	Gregory Cash	Merrill Lynch PBIG	Charlotte	.	.	.				\$9,200	\$20	\$40
2	3	R. Mitchell Wickham	Merrill Lynch PBIG	Charlotte	.	.					9,200	20	40
3	1	William Oliver	Wells Fargo Advisors	Charlotte	.	.					1,309	10	20
4	10	John McCardell	Merrill Lynch Wealth Mgmt	Charlotte	.	.	.				4,294	5	10
5	11	Manish Mehta	UBS Financial Svcs	Chapel Hill	.	.	.			.	1,100	2.5	5
6	N	Brett Hoge	BB&T Scott & Stringfellow	Winston-Salem	.	.	.	.			825	10	25
7	7	Patrick Rush	Triad Financial Advisors	Greensboro	.	.					653	1	1.75
8	8	Kelly Graves	Carroll Financial	Charlotte	.	.	.				661	1.8	3
9	12	Chris Davis	Wells Fargo Advisors	Davidson	.	.	.				2,415	1.5	2.5
10	9	Lee Bryan III	Alex. Brown / Raymond James	Winston-Salem	.	.	.	.	.	.	4,150	25	25
11	17	Rick Fisher	Fisher Wealth Mgmt	Burlington	.	.	.			.	574	1	2
12	6	Kathleen Malone	Wells Fargo Advisors	Charlotte	.	.					3,547	7	12
13	15	Jason Zaks	Alex. Brown / Raymond James	Winston-Salem	.	.	.	.	.	.	4,150	25	25
14	14	Gary Gaulden	Ameriprise Financial	Durham	.	.					482	1.5	3
15	19	Pamela Miles	Wells Fargo Advisors	North Wilkesboro	.	.	.	.			1,185	1.2	6
16	13	Sam Rankin	UBS Financial Svcs	Charlotte	.	.	.	.	.	.	946	1	5
17	16	Thorne James	Merrill Lynch Wealth Mgmt	Raleigh	.	.	.				499	1.8	2.1
18	21	Kim Hoffman	Edward Jones	Wilson	.	.					406	1	2
19	25	Mike Absher	Wells Fargo Advisors	Chapel Hill	.	.	.				422	1.5	3.5
20	N	Adam Landau	Morgan Stanley PWM	Charlotte	.	.	.				843	20	100
21	N	Robert Edmonds	Ameriprise Financial	Greensboro	.	.	.				404	0.41	0.5
22	20	John Patterson	Carroll Financial	Charlotte	.	.	.				403	1.75	4
23	22	Bill Spry	Alex. Brown / Raymond James	Winston-Salem	.	.	.	.	.	.	2,089	25	40
24	18	Lee Morris	Morgan Stanley Graystone	Raleigh	.	.	.	.	.	.	3,652	40	60
25	28	Scott Young	Merrill Lynch Wealth Mgmt	Winston-Salem	.	.	.				925	3	3.75
26	23	Mark Walker	Ameriprise Financial	Charlotte	.	.	.				956	1.3	2
27	26	Keith Norman	Merrill Lynch Wealth Mgmt	Winston-Salem	.	.	.				925	3	3.75
28	27	Steve Eubanks	UBS Financial Svcs	Raleigh	.	.	.				729	5.6	8
29	29	Michael Lappin	UBS Financial Svcs	Raleigh	.	.	.				729	5.6	8
30	N	Ian McDade	Merrill Lynch Wealth Mgmt	Charlotte	.	.	.			.	827	3	10
<b>North Dakota</b>													
1	2	Joel Bird	Ameriprise Financial	Bismarck	.	.					\$1,969	\$0.50	\$1.25



# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
2	1	Troy Nelson	Edward Jones	Bismarck	.	.	.				638	1.35	3.8
3	5	Greg Tschetter	Edward Jones	Minot	.	.	.				291	0.34	0.8
4	4	Ronald Nomeland	Merrill Lynch Wealth Mgmt	Fargo	.	.				.	532	1.5	2.5
5	N	Dave Schlafman	Ameriprise Financial	Bismarck	.	.	.			.	1,969	0.5	1.5
6	N	Thomas Reule	Edward Jones	Devils Lake	.	.					212	0.3	0.7
<b>Ohio</b>													
1	1	Valerie Newell	Mariner Wealth Advisors	Cincinnati	.	.	.				\$2,969	\$3.40	\$7.50
2	2	David Singer	Merrill Lynch PBIG	Cincinnati		.	.				3,226	13	30
3	3	Michael Chasnoff	Truepoint Wealth Counsel	Cincinnati	.	.	.			.	2,994	5	8
4	23	Craig Findley	UBS Financial Svcs	Sylvania	.	.	.			.	6,084	5	10
5	6	David Ellis	UBS Financial Svcs	Cincinnati	.	.	.				1,630	6	8
6	9	John Henry	OBS Financial	Perrysburg	.					.	2,058	0.35	0.5
7	4	Randy Carver	Raymond James	Mentor	.	.					1,483	1	1
8	5	Kevin Myeroff	NCA Financial Planners	Cleveland	.	.	.				1,678	2.5	3
9	12	Peter Motta	Merrill Lynch PBIG	Columbus		.	.				3,007	10	25
10	15	Brian Edwards	Morgan Stanley Wealth Mgmt	Columbus	.	.				.	1,100	3.5	10
11	8	Paul Carbetta	Ameriprise Financial	Worthington	.	.	.				2,542	0.59	1.77
12	11	Daniel Roe	Budros, Ruhlin & Roe	Columbus		.	.			.	2,790	4.8	6
13	14	Stephen Fish	UBS Financial Svcs	Cincinnati	.	.	.				944	3	5
14	19	Linnell Sullivan	Merrill Lynch Wealth Mgmt	Cincinnati		.	.				1,341	5	12
15	16	Sidney Jones	Merrill Lynch Wealth Mgmt	Canfield	.	.	.			.	1,322	4	6
16	13	Richard Hale	Morgan Stanley Wealth Mgmt	Beavercreek	.	.	.	.		.	2,126	2.5	3.5
17	20	James Barsella	Wells Fargo Advisors	Hudson		.	.	.	.	.	6,222	10	25
18	17	Joseph Chornyak Sr.	Chornyak & Associates	Columbus	.	.	.				1,100	2	4
19	29	Jennifer Hamant	Morgan Stanley Graystone	Columbus			.	.	.	.	5,596	105	205
20	25	Thomas Robertson	Morgan Stanley Wealth Mgmt	Columbus	.	.	.	.		.	4,550	2	5
21	N	Cheryl Carpenter	Morgan Stanley Graystone	Columbus			.	.	.	.	5,596	105	205
22	N	Joel Guth	Gryphon Financial Partners	Columbus		.	.				1,130	5.37	7.1
23	18	Charles Dankworth	UBS Financial Svcs	New Albany	.	.	.				1,047	5	23
24	24	Jeffrey Stewart	Morgan Stanley Wealth Mgmt	Dublin		.	.			.	1,228	5	10
25	22	Elizabeth Armitage	Merrill Lynch Wealth Mgmt	Cincinnati	.	.	.			.	2,232	1.5	5.5
26	N	Cleves Delp	TDC Investment Advisory	Maumee		.	.			.	2,832	5	10
27	N	John Krieger	Merrill Lynch Wealth Mgmt	Pepper Pike	.	.	.				832	3	5
28	N	Jeffery Chaddock	Ameriprise Financial	Gahanna	.	.					1,800	0.83	1.35
29	21	Dean D. Trindle	Morgan Stanley Wealth Mgmt	Cincinnati	.	.	.				700	1	1.5
30	28	Daniel Torbeck	UBS Financial Svcs	Cincinnati	.	.	.				2,313	5	10
<b>Oklahoma</b>													
1	4	Michael Mazzei	Tulsa Wealth Adv / Ray. James	Tulsa	.	.	.			.	\$720	\$1.50	\$5
2	2	Steve Jelley	Merrill Lynch Wealth Mgmt	Tulsa	.	.	.	.			918	4.5	10
3	3	Jeffrey Blumenthal	Wells Fargo Advisors	Oklahoma City	.	.	.			.	995	2	5
4	1	Matthew Monger	Merrill Lynch Wealth Mgmt	Tulsa	.	.	.			.	765	4	5
5	N	Jana Shoulders	Mariner Wealth Advisors	Tulsa	.	.	.				1,125	6	9
6	7	Donald Jackson	UBS Financial Svcs	Tulsa	.	.					416	0.65	1
7	6	Matt R. Cain	Merrill Lynch Wealth Mgmt	Tulsa	.	.	.			.	1,955	5.39	6
8	5	Donald Lehman	Merrill Lynch Wealth Mgmt	Tulsa	.	.	.			.	1,955	5.39	6
9	N	John Cary	Morgan Stanley Wealth Mgmt	Tulsa	.	.	.				463	1.1	5
10	8	William Andrew	Edward Jones	Enid	.	.	.				298	0.8	1.5

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
11	N	T. Paul Eagleton	Wells Fargo Eagleton Brown	Tulsa	.	.	.	.	.	.	1,057	0.5	1
12	9	Jim Eagleton	Wells Fargo Eagleton Brown	Tulsa	.	.	.	.	.	.	1,057	0.5	1
<b>Oregon</b>													
1	1	Judith McGee	McGee Wealth Mgmt / Ray. James	Portland	.	.	.	.	.	.	\$620	\$1	\$3
2	3	Rebecca DeCesaro	First Republic Investment Mgmt	Portland	.	.	.	.	.	.	1,419	5	9
3	N	William Kiefel	Merrill Lynch Wealth Mgmt	Portland	.	.	.	.	.	.	734	4.61	10
4	9	Cameron Cheek	UBS Financial Svcs	Portland	.	.	.	.	.	.	2,591	2.5	5
5	4	Glen Clemans	CGC Financial Svcs	Lake Oswego	.	.	.	.	.	.	602	0.6	0.85
6	5	Keith Sheppard	UBS Financial Svcs	Portland	.	.	.	.	.	.	573	1.37	2.5
7	8	Josh Ulmer	Morgan Stanley Wealth Mgmt	Portland	.	.	.	.	.	.	1,237	25	25
8	2	Jeffrey Yandle	First Republic Investment Mgmt	Portland	.	.	.	.	.	.	1,419	4	7
9	6	James Wrenn	UBS Financial Svcs	Lake Oswego	.	.	.	.	.	.	636	3	5
10	N	Teresa Hart	UBS Financial Svcs	Portland	.	.	.	.	.	.	2,591	2.5	5
11	7	James Beatty	UBS Financial Svcs	Portland	.	.	.	.	.	.	536	3	10
12	10	Robert Coussens	UBS Financial Svcs	Portland	.	.	.	.	.	.	962	2	4
<b>Pennsylvania</b>													
1	1	Peter Rohr	Merrill Lynch PBIG	Philadelphia	.	.	.	.	.	.	\$4,671	\$30	\$100
2	2	Josh Gross	Mill Creek Capital Advisors	Conshohocken	.	.	.	.	.	.	5,900	30	50
3	3	Grant Rawdin	Wescott Financial Advisory Group	Philadelphia	.	.	.	.	.	.	2,147	8	23
4	4	John Waldron	Waldron Private Wealth	Pittsburgh	.	.	.	.	.	.	1,963	10	20
5	7	Jim Martin	Morgan Stanley PWM	Conshohocken	.	.	.	.	.	.	6,574	25	50
6	8	Patti Brennan	Key Financial	West Chester	.	.	.	.	.	.	978	2	3
7	12	James Rimmel	UBS Financial Svcs	Pittsburgh	.	.	.	.	.	.	1,507	2	5
8	10	Anthony DiValerio	Morgan Stanley PWM	Conshohocken	.	.	.	.	.	.	1,148	30	50
9	9	John J. Parker Sr.	Wells Fargo Advisors	Philadelphia	.	.	.	.	.	.	1,500	4	5
10	6	James Meredith	Hefren-Tillotson	Pittsburgh	.	.	.	.	.	.	1,708	2.1	5.25
11	15	Jay Beaulieu	Wells Fargo Advisors	Radnor	.	.	.	.	.	.	2,428	7.9	10
12	17	Eric Salmansohn	Morgan Stanley Wealth Mgmt	Philadelphia	.	.	.	.	.	.	1,340	3.5	10
13	16	Peter Strope	UBS Financial Svcs	Canonsburg	.	.	.	.	.	.	968	1.2	3
14	25	Alan Cohn	Sage Financial Group	Conshohocken	.	.	.	.	.	.	1,870	3.5	5.5
15	34	Marc Richman	Morgan Stanley PWM	Philadelphia	.	.	.	.	.	.	1,221	10	25
16	19	David Eisen	UBS Financial Svcs	Philadelphia	.	.	.	.	.	.	13,122	200	200
17	18	Thomas Seiler	Raymond James	Newtown	.	.	.	.	.	.	945	6	20
18	26	Greg Sarian	Sarian Strategic Partners	Wayne	.	.	.	.	.	.	870	3	8
19	20	John Solis-Cohen	Wells Fargo Advisors	Jenkintown	.	.	.	.	.	.	1,191	5	12
20	13	Sean Kelly	Morgan Stanley PWM	Conshohocken	.	.	.	.	.	.	1,902	40	50
21	22	Mark Eskin	Janney Montgomery Scott	Philadelphia	.	.	.	.	.	.	1,570	3	5
22	23	Edward Blumenthal	Janney Montgomery Scott	Philadelphia	.	.	.	.	.	.	1,570	3	5
23	21	Charles Sessa	UBS Financial Svcs	Philadelphia	.	.	.	.	.	.	13,122	200	200
24	N	Lon Jury	Ameriprise Financial	Lancaster	.	.	.	.	.	.	1,162	0.2	0.5
25	28	Michael Duckworth	Merrill Lynch PBIG	Pittsburgh	.	.	.	.	.	.	977	25	40
26	14	Richard Corcoran	Morgan Stanley PWM	Conshohocken	.	.	.	.	.	.	1,902	40	50
27	24	Malcolm Cowen	Cornerstone Advisors Asset Mgmt	Bethlehem	.	.	.	.	.	.	5,719	45	70
28	42	Joel Freedman	Morgan Stanley Wealth Mgmt	Conshohocken	.	.	.	.	.	.	706	3.5	5
29	27	George Mastrogiorgos	Ameriprise Financial	Exton	.	.	.	.	.	.	1,647	0.6	1.4
30	37	Gregory Hayes	Merrill Lynch Wealth Mgmt	Yardley	.	.	.	.	.	.	877	2.5	4
31	29	Michael Mattise	Radnor Financial Advisors	Wayne	.	.	.	.	.	.	1,770	5	6

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
32	N	Samuel Spanos	Raymond James	Beaver	.	.	.			.	657	0.75	1
33	30	Michael Hirthler	Jacobi Capital Mgmt	Wilkes Barre	.	.	.			.	1,233	2.9	4.2
34	32	David Kron	Kron & Polis/Wells Fargo Advisors	Philadelphia	.	.	.				1,263	2.75	5.5
35	33	Stephen Bealer	Merrill Lynch Wealth Mgmt	Wyomissing	.	.	.				1,172	3	5
36	N	Craig Schwartz	Wells Fargo Advisors	Philadelphia	.	.	.				942	2.5	5
37	41	Kurt Carlson	Hefren-Tillotson	Wexford	.	.	.				852	1	1.5
38	35	Al Boris	Alex. Brown / Raymond James	Philadelphia	.	.	.				895	8	10
39	44	Robb Boland	RBC Wealth Mgmt	Philadelphia	.	.	.				1,265	5	20
40	38	Peter Sargent	Janney Montgomery Scott	Yardley	.	.	.				687	2	4
41	39	Barbara Hudock	Hudock Capital Group	Williamsport	.	.	.				526	1	3
42	45	Joseph Flotteron	Merrill Lynch Wealth Mgmt	Yardley	.	.	.			.	482	2	6
43	N	Bradford Bernstein	UBS Financial Svcs	Philadelphia	.	.	.				696	1.5	7.5
44	36	Michael Blehar	Fort Pitt Capital Group	Pittsburgh	.	.	.				2,882	0.52	1.3
45	31	William Brown	Merrill Lynch Wealth Mgmt	Sewickley	.	.	.				1,110	4	11
46	N	John Garvey	UBS Financial Svcs	Philadelphia	.	.	.				1,424	7	10
47	46	Glenn Kolod	Edward Jones	Monroeville	.	.	.				553	0.6	0.75
48	47	Theodore Bovard	Fort Pitt Capital Group	Pittsburgh	.	.	.				2,882	0.52	1.3
<b>Rhode Island</b>													
1	1	Malcolm Makin	Raymond James	Westerly	.	.	.				\$1,289	\$1.50	\$3
2	3	Edythe De Marco	Merrill Lynch Wealth Mgmt	Providence	.	.	.			.	914	3.5	5.1
3	6	Denise Roberts	Morgan Stanley Wealth Mgmt	Newport	.	.	.				382	3	4
4	5	Joseph Hassett	Janney Montgomery Scott	Providence	.	.	.				551	1.5	3
5	4	John Zitzmann	Morgan Stanley Wealth Mgmt	Providence	.	.	.				582	5	8
6	N	Robert DiColo	UBS Financial Svcs	Providence	.	.	.				344	1.5	4
<b>South Carolina</b>													
1	1	Cheryl Holland	Abacus Planning Group	Columbia	.	.	.				\$1,217	\$4.79	\$11.04
2	5	Rick Migliore	Merrill Lynch Wealth Mgmt	Columbia	.	.	.			.	4,294	7	10
3	2	Robert Vingi	Wells Fargo Advisors	Charleston	.	.	.				1,610	7.78	9.69
4	4	Bud Kibler	Merrill Lynch PBIG	Columbia	.	.	.				5,715	10	25
5	N	Ronnie Dennis	Merrill Lynch Wealth Mgmt	Columbia	.	.	.			.	4,294	20	50
6	8	W. Clark Gallivan	UBS Financial Svcs	Greenville	.	.	.				662	3.5	5
7	9	Kenneth Parham	UBS Financial Svcs	Greenville	.	.	.	.	.	.	1,006	3.8	10
8	6	Daniel M. Roach	Morgan Stanley Wealth Mgmt	Columbia	.	.	.			.	1,042	3	5
9	N	Bert Arrowood	UBS Financial Svcs	Greenville	.	.	.	.	.	.	1,006	3.8	10
10	N	Lisa Quadri	Wells Fargo Advisors	Charleston	.	.	.				525	3.25	7
11	11	Michael Velasco	Merrill Lynch Wealth Mgmt	Columbia	.	.	.	.	.	.	2,986	5	15
12	10	Josh Brown	Merrill Lynch Wealth Mgmt	Greenville	.	.	.				536	1.5	2.5
<b>South Dakota</b>													
1	4	David Hillard	RBC Wealth Mgmt	Rapid City	.	.	.	.		.	\$960	\$2.50	\$3.50
2	1	Todd Nelson	Merrill Lynch Wealth Mgmt	Sioux Falls	.	.	.				1,196	1	3
3	2	Gordon Wollman	Cornerstone Finl / Ray. James	Sioux Falls	.	.	.				410	0.85	1.75
4	3	David R. Olson	Merrill Lynch Wealth Mgmt	Sioux Falls	.	.	.				656	3	5
5	5	Nathan Quello	Raymond James	Sioux Falls	.	.	.	.		.	600	1.6	3
6	6	William Lindquist	Merrill Lynch Wealth Mgmt	Sioux Falls	.	.	.			.	543	1.5	6
<b>Tennessee</b>													
1	2	Timothy Roberson	Merrill Lynch Wealth Mgmt	Brentwood	.	.	.				\$2,062	\$5	\$10
2	3	Eric Klindt	Merrill Lynch PBIG	Nashville	.	.	.	.	.		1,158	15	30

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
3	7	Earnest "Trey" Smith	SunTrust Investment Svcs	Nashville	.	.	.				778	4	15
4	8	Jay Williams	UBS Financial Svcs	Memphis			.				1,084	100	480
5	5	Jeffrey Bates	Kings Point Capital Mgmt	Brentwood		.	.	.			948	4.7	6
6	10	Stanton Jacobs	UBS Financial Svcs	Oak Ridge	.	.	.				400	1.2	2.5
7	11	Matthew Miller	Merrill Lynch PBIG	Brentwood		.	.				1,036	32	50
8	9	Malcolm Liles	Baird	Nashville	.	.	.			.	875	4	8.5
9	15	Brent Trentham	Ameriprise Financial	Alcoa	.	.	.			.	677	0.42	1.2
10	13	Jeff Dobyns	Southwestern Inv / Ray. James	Franklin	.	.	.				412	3	8
11	6	Christi Edwards	Morgan Stanley Wealth Mgmt	Nashville	.	.	.				813	4	10
12	N	John Pettey	Raymond James	Memphis	.	.	.			.	1,157	8	12
13	16	Robert Gooch	Raymond James	Memphis	.	.	.		.		663	2.5	6.7
14	4	Dugan McLaughlin	Merrill Lynch PBIG	Knoxville			.				864	30	50
15	14	Elias Hassan	Hilliard Lyons	Murfreesboro		.	.	.			649	4	7
16	17	David Hepner	Merrill Lynch Wealth Mgmt	Germantown	.	.	.				1,014	6	6
17	N	Andrew Venable	Morgan Stanley Wealth Mgmt	Knoxville	.	.	.				1,053	5	8
18	12	Jason Pharris	Morgan Stanley Wealth Mgmt	Nashville	.	.	.				835	4	10
<b>Texas</b>													
1	1	Richard Szelc	Neuberger Berman	Dallas		.	.				\$1,805	\$12.50	\$25
2	2	Richard Joyner	Tolleson Wealth Mgmt	Dallas		.	.	.			5,900	37	84.5
3	3	Jason L. Fertitta	Morgan Stanley PWM	Houston	.	.	.	.		.	4,979	25	50
4	4	Tommy McBride	Merrill Lynch Wealth Mgmt	Dallas		.	.				1,648	7.5	15
5	5	David Barnes	UBS Financial Svcs	Dallas		.	.				1,189	15	50
6	8	Scott Tiras	Ameriprise Financial	Houston	.	.	.				2,097	3	5
7	7	Darrell Pennington	Ameriprise Financial	Houston	.	.					1,165	1.5	2.5
8	9	Kevin Blonkvist	RBC Wealth Mgmt	Midland	.	.	.	.	.	.	1,832	10	10
9	19	Charles McKinney	Morgan Stanley PWM	Dallas		.	.				1,309	50	100
10	6	Van Percy	Van Percy's / Raymond James	Midland	.	.	.			.	1,654	3	10
11	10	Jeanie Wyatt	South Texas Money Mgmt	San Antonio	.	.	.	.		.	3,724	1.16	7.56
12	12	Mark Moore	UBS Financial Svcs	Austin	.	.	.				1,654	4.5	10
13	30	Jennifer Marcontell	Edward Jones	Baytown	.	.					1,119	1	3.53
14	40	Nestor Vicknair	Merrill Lynch Wealth Mgmt	Houston	.	.	.				5,335	8	10
15	21	Champ Warren	Merrill Lynch PBIG	Houston		.	.	.			3,913	25	50
16	38	Rob Bertino	UBS Financial Svcs	Dallas			.	.		.	1,166	25	50
17	16	William Leven	Merrill Lynch PBIG	Houston		.	.	.			1,062	40	50
18	22	Reed Smith	Merrill Lynch PBIG	Houston		.	.	.			3,913	25	50
19	17	Stephen Engro	Beacon Financial Group	Dallas	.	.	.				832	1.7	3
20	35	Charla McIntyre Fields	Ameriprise Financial	Hurst	.	.	.				1,296	1.5	5.5
21	24	Richard Piatas	Merrill Lynch Wealth Mgmt	Frisco		.	.				3,693	11	25
22	18	Bonner Barnes	Corda Investment Mgmt	Houston	.	.					1,030	0.8	2
23	20	Lewis Metzger	Morgan Stanley Wealth Mgmt	Houston	.	.	.				1,340	4	6
24	37	Howard Lorch	Wells Fargo Advisors	Houston	.	.	.				1,918	1	4
25	23	Jeffery Price	Merrill Lynch Wealth Mgmt	Southlake	.	.	.				837	2.5	3.5
26	N	Langston Turner	UBS Financial Svcs	Houston		.	.	.			1,900	20	50
27	32	Richard Ashcroft	Baird	Houston	.	.	.				2,100	4	4.5
28	25	John Merrill	Tanglewood Total Wealth Mgmt	Houston	.	.	.				930	2.5	5
29	44	Steve Nagel	Merrill Lynch Wealth Mgmt	Frisco		.	.				1,119	5	8
30	N	D. Scott Uptegraph Jr.	Morgan Stanley Wealth Mgmt	Houston	.	.	.				851	10	25

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
31	27	James Coffee	Merrill Lynch Wealth Mgmt	Houston	.	.	.				6,002	5	7
32	15	William Gouldin	Morgan Stanley PWM	Houston		.	.	.			676	5	10
33	51	Frederick de Groot	Merrill Lynch Wealth Mgmt	The Woodlands	.	.	.			.	1,907	6	10
34	52	Brian Tramontano	Merrill Lynch Wealth Mgmt	San Antonio	.	.	.				647	2.25	4
35	47	Ira Kravitz	UBS Financial Svcs	Plano	.	.	.				601	5	7.5
36	53	Audree Begay	Ameriprise Financial	Houston	.	.	.				1,013	1	3
37	36	Carl Fuhrmann III	Merrill Lynch Wealth Mgmt	San Antonio	.	.	.				949	3.5	6
38	29	Mark Gladstein	Merrill Lynch Wealth Mgmt	Houston	.	.	.				1,577	3.13	10
39	55	Anupam Johri	Morgan Stanley Wealth Mgmt	Dallas	.	.	.				782	1	3
40	34	Charles Lewis	Wells Fargo Advisors	Waco	.	.	.	.	.	.	1,490	1.8	3.5
41	48	Kevin Dickey	Morgan Stanley PWM	Dallas		.	.				890	25	40
42	42	R. Scot Smith	Morgan Stanley Wealth Mgmt	Dallas	.	.	.	.			595	3	5
43	39	Susan Heuer	Merrill Lynch Wealth Mgmt	Houston	.	.	.				3,275	2.5	5
44	50	R. Craig Rathjen	UBS Financial Svcs	Houston			.	.		.	2,664	60	90
45	70	Kalita Blessing	Quest Capital / Raymond James	Dallas		.	.				1,288	2	10
46	45	John Mott	Morgan Stanley Graystone	Houston		.				.	3,200	80	80
47	54	Raymond Tucker	Wells Fargo Advisors	Texarkana	.	.	.				1,433	0.8	2.3
48	33	Gregory Glosser	RBC Wealth Mgmt	Dallas	.	.	.				680	5	10
49	60	Darren Fenz	Merrill Lynch Wealth Mgmt	Houston	.	.	.				1,438	3.5	5
50	46	Brent Anderson	Morgan Stanley PWM	Houston		.	.				1,336	25	50
51	89	Alan Yonack	Morgan Stanley Wealth Mgmt	Dallas	.	.	.				764	2	7
52	N	John Mockovciak	Baird	Dallas	.		.				982	2	4
53	57	J. Michael Fox	Merrill Lynch Wealth Mgmt	Houston	.	.	.				804	2.5	5
54	58	Nelson Murray	Morgan Stanley PWM	Houston		.	.				884	3	8
55	64	Bradley Bruce	Merrill Lynch Wealth Mgmt	Fort Worth	.	.	.			.	1,050	8	15
56	72	Mark Elias	UBS Financial Svcs	Houston		.	.	.	.		1,631	15	25
57	65	Gil Baumgarten	Segment Wealth Mgmt	Houston	.	.	.			.	788	8	17
58	63	Ralph Schroeder	Ameriprise Financial	The Woodlands	.	.	.				614	2.1	5
59	43	Elizabeth Lockwood	UBS Financial Svcs	Houston	.	.	.	.			2,073	10	15
60	56	Marie Moore	Morgan Stanley Wealth Mgmt	Dallas	.	.	.				404	2	5
61	61	Charles Johansen	Merrill Lynch Wealth Mgmt	The Woodlands	.	.	.			.	1,270	5	7.75
62	N	Alvaro Gonzalez	Merrill Lynch Wealth Mgmt	McAllen	.	.	.				503	2.5	20
63	49	Barry Young	UBS Financial Svcs	Houston	.	.	.	.			2,073	10	15
64	69	J. Dow Crocker	Raymond James	Dallas	.	.	.	.	.	.	2,100	5	25
65	87	David Novelli	Morgan Stanley PWM	Houston	.	.	.			.	946	15	25
66	67	Charles Neuhaus	Wells Fargo Advisors	Houston		.	.			.	910	12	40
67	41	Kerry Knox	Merrill Lynch Wealth Mgmt	Fort Worth	.	.	.				1,358	1.2	2.2
68	62	Craig Massey	Merrill Lynch PBIG	Houston		.	.	.			1,913	100	250
69	N	Ryan Long	UBS Financial Svcs	Dallas	.	.	.				1,356	5	7
70	66	Brian Petrauskas	Merrill Lynch Wealth Mgmt	The Woodlands	.	.	.			.	1,270	5	7.75
71	83	Alexander Ladage	UBS Financial Svcs	Austin	.	.	.	.			979	10	20
72	78	Greg McDonald	UBS Financial Svcs	Midland	.	.	.	.			1,649	5	10
73	76	Shawn Smith	Ameriprise Financial	Fort Worth	.	.					1,395	1	2
74	74	Joni Abalos	Merrill Lynch Wealth Mgmt	Houston	.	.	.				1,438	3.5	5
75	84	Steven Herzog	RBC Wealth Mgmt	Houston	.	.	.	.		.	840	5	10
76	75	F. Michael Wood, Jr.	Merrill Lynch PBIG	San Antonio		.	.	.		.	2,148	15	20
77	N	Dan Nelson	Merrill Lynch PBIG	San Antonio		.	.	.		.	2,148	15	20

# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
78	79	Gail Utter	Wells Fargo Advisors	Sherman	.	.	.				545	3.5	5
79	81	Melinda Johnston	Merrill Lynch Wealth Mgmt	Fort Worth		.	.				1,105	5	10
80	73	Michael Hesse	Texas Wealth Mgmt	Houston	.	.	.				719	2	2.5
81	26	Doug Wall	Alex. Brown / Raymond James	Dallas	.	.	.			.	693	5	50
82	86	Mark Kever	UBS Financial Svcs	Plano	.	.	.				588	2	3.2
83	85	Jay Gerrie	Merrill Lynch Wealth Mgmt	Austin	.	.	.				898	2	3
84	N	Robert McMeen	Parkway Wealth / Ray. James	Plano	.	.	.				1,400	2	3
85	82	Donald Peterson	UBS Financial Svcs	Dallas		.	.			.	873	20	40
86	90	Stephen Roberts	Merrill Lynch Wealth Mgmt	Houston	.	.	.				559	1.5	2.5
87	80	Matthew Kardesch	Ameriprise Financial	Houston	.	.	.				675	1.5	3
88	N	Matt Prucha	Edward Jones	Kingwood	.	.	.				392	0.85	2.5
89	N	Robert Harrell	Harrell Investment Partners	Austin	.	.	.				436	25	40
90	N	Kenny French	Edward Jones	Victoria	.	.	.				441	0.7	0.75
<b>Utah</b>													
1	1	Dane Runia	Merrill Lynch PBIG	Provo			.			.	\$2,782	\$68.38	\$100
2	4	Glen Mintz	UBS Financial Svcs	Park City	.	.	.				810	3	7
3	3	John Van Wagoner	Merrill Lynch Wealth Mgmt	Salt Lake City	.	.	.	.			670	5	10
4	2	Carl L. Laurella	Merrill Lynch Wealth Mgmt	Salt Lake City		.	.			.	1,308	10	15
5	5	Greg Golding	UBS Financial Svcs	Park City	.	.	.	.		.	4,353	1.5	6
6	N	Craig Young	Merrill Lynch Wealth Mgmt	Provo	.	.	.				583	2	5
<b>Vermont</b>													
1	2	Brian Pelkey	Morgan Stanley Wealth Mgmt	Colchester	.	.	.			.	\$806	\$2.50	\$3.50
2	1	Tim Stotz	Morgan Stanley Wealth Mgmt	Colchester		.	.	.	.	.	824	2.2	4
3	3	Timothy O'Brien	UBS Financial Svcs	South Burlington	.	.	.				391	2.5	4.5
4	5	Jeff Dannies	Morgan Stanley Wealth Mgmt	Burlington	.	.	.	.	.		690	1.5	2.5
5	4	Donald W. Tynion II	UBS Financial Svcs	South Burlington	.	.	.			.	271	0.75	2
6	N	CJ Melendy	Ameriprise Financial	Williston	.	.	.				302	0.9	1.5
<b>Virginia</b>													
1	1	Stephan Cassaday	Cassaday	McLean	.	.	.				\$2,866	\$1.50	\$2
2	3	Paul Pagnato	PagnatoKarp	Reston		.	.				3,863	15	20
3	2	Joseph Montgomery	Wells Fargo Advisors	Williamsburg	.	.	.	.	.	.	12,136	7	15
4	6	Jeffrey Grinspoon	VWG Wealth Mgmt at HighTower	Vienna		.	.			.	2,000	5	7
5	4	Tristan Caudron	Wells Fargo Advisors	Alexandria	.	.	.	.	.		1,671	1.8	3.5
6	8	Barry Glassman	Glassman Wealth Svcs	Tysons Corner	.	.	.	.			1,300	8	10
7	10	Lowell Pratt	Burney Company	Reston	.	.	.			.	1,727	0.95	2
8	11	Ryan Sprowls	Wells Fargo Advisors	Alexandria	.	.	.	.		.	1,140	2	4
9	7	Gregory Smith	Baird	Reston	.	.	.				2,211	1.3	3
10	13	Jamie Cox	Harris Financial Group	Richmond	.	.	.				790	0.65	0.94
11	12	Susan Kim	Ameriprise Financial	Vienna	.	.	.				1,378	1	2
12	21	Scott George	Mason Investment Advisory Svcs	Reston				.		.	7,993	10	42
13	19	Allan Strange	Janney Montgomery Scott	Richmond	.	.	.	.		.	1,054	1.5	3
14	18	John Gill	BB&T Scott & Stringfellow	Virginia Beach	.	.	.				744	3	5
15	14	Garret Alcaraz	Wells Fargo Advisors	Virginia Beach	.	.	.	.	.	.	1,775	5	10
16	16	Michael Joyce	Agili	Richmond	.	.	.				715	2.5	3
17	17	Brett Hayes	Hayes Nystrom Sarrett WM Group	Richmond	.	.	.	.	.	.	1,192	3	5
18	9	Simon Hamilton	Baird	Reston	.	.	.				2,211	1.3	3
19	20	James Barnette	Raymond James	Reston	.	.	.				606	1.5	2.5



# Barron's 2019 Top 1,200 Advisors

'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
20	24	Robert Parr	Morgan Stanley PWM	McLean	.	.	.				771	5	10
21	23	Neil Goetzman	Merrill Lynch Wealth Mgmt	Alexandria	.	.	.		.		789	4	7.3
22	N	Greg Sullivan	Sullivan, Bruyette, Speros & Blayney	McLean	.	.	.				3,950	5	10
23	26	Brude Stoever	Wells Fargo Advisors	Richmond	.	.	.			.	835	5	5
24	27	Rob Reich	Wells Fargo Advisors	McLean		.	.			.	672	5	10
25	25	Paul Mazziotti	Wells Fargo Advisors	McLean		.	.	.	.	.	1,923	9	14
26	30	Geoffrey Sisk	Wells Fargo Advisors	Richmond	.	.	.	.			840	4	7
27	29	Brenda Blisk	Spire Investment Partners	McLean	.	.	.				550	1.75	5
28	15	Jeff LeClair	Wells Fargo Advisors	McLean		.	.		.		1,284	10	15
29	N	Hanane Lemlih	Wells Fargo Advisors	McLean		.	.		.		1,284	10	15
30	28	Greg Popera	Merrill Lynch PBIG	Tysons		.	.				828	35	65
<b>Washington</b>													
1	1	Erik Morgan	Freestone Capital Mgmt	Seattle		.	.				\$4,370	\$4.65	\$8.46
2	2	Phil Scott	Merrill Lynch Wealth Mgmt	Bellevue		.	.				2,836	5	20
3	3	Randall Linde	Ameriprise Financial	Renton	.	.	.			.	2,089	0.86	2.5
4	6	Cameron Ragen	Baird	Seattle	.	.	.				2,070	4	5
5	4	Charles Brighton	Brighton Jones	Seattle	.	.	.				5,720	3	5
6	5	Jon Jones	Brighton Jones	Seattle	.	.	.				5,720	3	5
7	9	Dean Braun	Morgan Stanley PWM	Seattle		.	.				3,670	15	20
8	11	Michael Matthews	UBS Financial Svcs	Bellevue	.	.	.				1,120	4	8
9	7	Terry Cook	UBS Financial Svcs	Bellevue		.	.				1,163	20	35
10	12	Paul Ried	Paul R. Ried Financial Group	Bellevue	.	.	.				744	0.5	1
11	8	Stephen Hollomon	Merrill Lynch Wealth Mgmt	Seattle	.	.	.			.	1,958	5	10
12	16	Lowry West	Morgan Stanley PWM	Seattle		.	.				1,520	15	30
13	10	Wayne Hampson	Merrill Lynch Wealth Mgmt	Seattle		.	.				1,564	2.5	20
14	14	Lawrence Hood	Pacific Portfolio Consulting	Seattle	.	.	.			.	3,793	13.22	18
15	13	Erin Scannell	Ameriprise Financial	Mercer Island	.	.	.				2,120	0.7	3
16	20	Jay Kirkpatrick	Merrill Lynch PBIG	Spokane		.	.			.	2,060	10	15
17	18	Kevin Fitzwilson	Coldstream Wealth Mgmt	Bellevue	.	.	.				1,145	4.6	4.6
18	17	Shari Burns	United Capital Seattle	Seattle		.	.	.			1,828	6.04	8.5
19	15	Robert Nelson	Morgan Stanley Wealth Mgmt	Seattle		.	.				3,670	15	20
20	21	Michael Hershey	J.P. Morgan Securities	Bellevue	.	.	.				1,020	10	25
21	22	Jeffrey Crosby	Ameriprise Financial	Kirkland	.	.	.				1,609	1	2.4
22	30	Paul Jackson	Morgan Stanley Wealth Mgmt	Bellevue	.	.	.				590	5	10
23	28	Joe Cervantes	Coldstream Wealth Mgmt	Bellevue	.	.	.				608	2.75	5
24	26	Matt Riesenweber	Cornerstone Wealth Strategies	Kennewick	.	.	.	.		.	515	1	2.4
25	19	Brian Acarregui	Morgan Stanley Wealth Mgmt	Seattle	.	.	.				2,030	4	10
26	24	Mike Geri	RBC Wealth Mgmt	Seattle	.	.	.				718	3.5	5
27	23	Daver Tiryakioglu	Merrill Lynch Wealth Mgmt	Bellevue	.	.	.			.	666	2.2	3.5
28	25	Robert Mellon	Freestone Capital Mgmt	Seattle		.	.				4,370	4.33	7.94
29	27	Frank Edgar	Merrill Lynch Wealth Mgmt	Seattle	.	.	.	.		.	792	3	8
30	N	Jason Weese	Merrill Lynch PBIG	Bellevue		.	.				800	15	50
<b>West Virginia</b>													
1	1	David Miller	RBC Wealth Mgmt	Huntington	.	.	.	.	.	.	\$1,336	\$0.75	\$2
2	3	Christopher Hall	Hall Financial Advisors	Parkersburg	.	.	.			.	773	0.7	1
3	6	Edward Stike	RBC Capital Markets	Charleston	.	.	.	.		.	466	0.75	1.5
4	5	Tom Kliethermes	Edward Jones	Fairmont	.	.	.				421	0.9	1

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'19	'18	Name	Firm	City	Retail	HNW	UHNW	Foun.	End	Inst	Typ Net	Typ Acct	Min Acct
5	N	Fred Scheeren	Wells Fargo Advisors	Wheeling	•	•	•			•	301	2	5
6	N	Michael Riedel	Wells Fargo Advisors	Wheeling	•	•					320	0.35	0.5
<b>Wisconsin</b>													
1	1	Michael Klein	Baird	Milwaukee	•	•	•	•	•	•	\$11,772	\$27	\$35
2	2	Andrew Burish	UBS Financial Svcs	Madison	•	•	•				3,606	2	3
3	4	Thomas Parks	Morgan Stanley Graystone	Milwaukee			•	•		•	10,059	75	75
4	3	Mark Orgel	Orgel Wealth Mgmt	Altoona	•	•	•			•	4,410	2	6
5	5	Barry Martzahl	Morgan Stanley Graystone	Green Bay	•	•	•			•	2,500	3	10
6	9	Michael Sadoff	Sadoff Investment Mgmt	Milwaukee		•	•				1,367	2	3
7	7	Bryan Sadoff	Sadoff Investment Mgmt	Milwaukee		•	•				1,367	2	3
8	11	Jeffrey Kowal	Kowal Investment / Ray. James	Waukesha	•	•					866	0.85	1.5
9	8	Philip Dallman	Baird	Milwaukee	•	•	•	•	•	•	11,772	27	35
10	10	David Epstein	Baird	Milwaukee	•	•	•	•			3,443	7.5	10
11	12	Vincenzo Cimino	Wealth Enhancement Group	Clinton	•	•					522	0.25	0.6
12	13	Walter Meanwell	Wells Fargo Advisors	Madison	•	•	•	•	•		600	0.83	2.8
13	14	Jon Goldstein	Ameriprise Financial	Middleton	•	•					1,119	0.6	1.4
14	6	David Spano	Annex Wealth Mgmt	Elm Grove	•	•				•	2,107	0.75	1.56
15	N	John Larsen	RBC Wealth Mgmt	Mequon	•	•	•				700	2	3
16	15	Bob Chernow	RBC Wealth Mgmt	Milwaukee	•	•	•				628	0.45	3
17	18	Chris Calvelli	Baird	Milwaukee	•	•	•	•			3,443	7.5	10
18	16	Michael Smith	Ameriprise Financial	Stevens Point	•	•					520	0.85	1.35
<b>Wyoming</b>													
1	N	Kevin Murphy	Stifel	Casper	•	•	•				\$431	\$1	\$2.50
2	1	Jeffrey Vincent	Vincent Financial Svcs	Riverton	•	•				•	275	1	2
3	3	Ryan Scott	Edward Jones	Rock Springs	•	•	•				234	0.8	1.4
4	N	Christopher Van Slyke	WorthPointe	Jackson	•	•	•			•	443	1	3
5	5	Daniel Stevens	Edward Jones	Cody	•	•	•				199	0.72	0.9
6	6	Bryan Pedersen	RBC Wealth Mgmt	Cheyenne	•	•				•	121	0.75	1.35