

BARRON'S

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The Top **INDIE ADVISORS**

Our exclusive rankings of the top 30 independent advisory firms and the top 100 independent advisors highlight the shifting landscape of the advice business. What the changes mean for investors.

Top 100 Independent Financial Advisors

Here are America's top independent financial advisors, as identified by Barron's. The ranking reflects the volume of assets overseen by the advisors and their teams, revenues generated for the firms, and the quality of the advisors' practices. The scoring system assigns a top score of 100 and rates the rest by comparing them with the winner. A ranking of "N" denotes an advisor who is new to the list.

'17	'16	Name	Firm	City	Individuals (<\$1m)	High Net Worth (\$10m+)	Ultra High Net (\$10m+)	Foun- dations	Endow- ments	Institu- tional	Total Assets (\$mil)	Typical Account (\$mil)	Typical Net Worth (\$ mil)	Score
1	3	Spuds Powell	Kayne Anderson Rudnick Inv Mgmt	Los Angeles, CA		•	•	•			15339	7	15	100
2	4	Robert A. Clarfeld	Clarfeld Financial Advisors	Tarrytown, NY		•	•				7300	20	80	100
3	9	Greg Miller	Wellesley Asset Mgmt	Wellesley, MA	•		•			•	2671	2	5	100
4	6	Charles Zhang	Zhang Financial	Portage, MI	•	•	•	•			3241	2	3	100
5	5	Richard Saperstein	HighTower	New York, NY		•	•				9391	15	40	99.9
6	8	Michael Nathanson	The Colony Group	Boston, MA	•	•	•				5841	3	8	99.7
7	7	Edward (Ted) Cronin	Manchester Capital Mgmt	Montecito, CA		•	•	•			3265	25	60	99.4
8	10	David Lees	myCIO Wealth Partners	Philadelphia, Pa.		•	•				6185	29	35	99.3
9	13	Ted Neild	Gresham Partners	Chicago, Ill.							6555	58	63	98.9
10	14	Michael Yoshikami	Destination Wealth Mgmt	Walnut Creek, CA	•	•	•				2095	2	8	98.4
11	11	Jon Goldstein	First Republic Inv Mgmt	Menlo Park, CA		•	•				7778	50	100	98.3
12	15	Stephan Cassaday	Cassaday and Company	McLean, Va.	•	•	•				2234	1.5	2	98
13	19	Paul Tramontano	First Republic Inv Mgmt	New York, NY			•				7778	25	50	96.7
14	20	Valerie Newell	RiverPoint Capital Mgmt	Cincinnati, Ohio	•	•	•				2497	4	8	96.7
15	24	Robert J. Skinner II	First Republic Inv Mgmt	Menlo Park, CA		•	•	•			15152	50	100	96.2
16	17	David Kudla	Mainstay Capital Mgmt	Grand Blanc, MI	•	•	•			•	2126	0.5	4	96.2
17	N	Richard Joyner	Tolleson Wealth Mgmt	Dallas, Texas		•	•				5100	34	77	96.1
18	21	Kimberlee Orth	Ameriprise Financial	Wilmington, Del.	•	•	•				1762	4.6	11.5	96
19	N	Paul Pagnato	PagnatoKarp	Reston, Va.		•	•				3432	15	20	95.8
20	30	Erik Morgan	Freestone Capital Mgmt	Seattle, WA	•	•	•				3853	4	8	95.3
21	16	Shannon Eusey	Beacon Pointe Advisors	Newport Beach, CA	•	•	•	•	•		5880	8	15	95.1
22	18	Grant Rawdin	Wescott Financial Advisory Group	Philadelphia, Pa.	•	•	•	•		•	1857	5.8	21.5	94.7
23	42	David Wright	The Sierra Group of Companies	Santa Monica, CA	•	•					2609	1.4	3	94.6
24	40	Robert Balentine	Balentine	Atlanta, Ga.		•	•	•		•	2561	16	25	94.3
25	34	Dale Yahnke	Dowling & Yahnke	San Diego, CA		•	•	•			3638	3.2	20	94.3
26	25	Susan Kaplan	Kaplan Financial Services	Newton, MA		•	•				1726	3.5	10	94.2
27	N	Kevin Grimes	Grimes & Company	Westborough, MA	•	•	•				2223	3.5	7	93.9
28	28	Jeffrey Colin	Baker Street Advisors	San Francisco, CA		•	•	•			6636	20	30	93.9
29	36	Kenneth Moraif	Money Matters with Ken Moraif	Plano, Texas	•	•					3850	0.5	1	93.4
30	22	Joshua Gross	Mill Creek Capital Advisors	Conshohocken, Pa.		•	•	•	•	•	5206	20	40	93.4
31	27	Steven Weinstein	Altair Advisers	Chicago, Ill.		•	•				4225	10	30	93.2
32	53	Daniel Wiener	Adviser Invs	Newton, MA	•	•	•				5057	0.5	1.3	93.1
33	39	Kevin Timmerman	Steele Capital Mgmt	Dubuque, Iowa	•	•				•	1827	0.7	3	92.8
34	33	Laila Pence	Pence Wealth Mgmt	Newport Beach, CA	•	•	•				1581	2	5	92.3
35	N	David Hou	First Republic Inv Mgmt	Los Angeles, CA		•	•	•			15152	40	100	92.1
36	43	Michael Chasnoff	Truepoint Wealth Counsel	Cincinnati, Ohio		•	•				2573	5	8	91.5
37	35	Randall Linde	Ameriprise Financial	Renton, WA	•	•	•			•	2153	0.9	2.5	91.4
38	52	Clarke Lemons	WaterOak Advisors	Winter Park, Fla.	•	•	•	•			1749	3	6	91.2
39	41	James B. Stack	Stack Financial Mgmt	Whitefish, Mont.	•	•	•				1185	1.5	5	91.1
40	61	Charles Brighton	Brighton Jones	Seattle, WA	•	•	•				4327	3	5	91
41	62	Jon Jones	Brighton Jones	Seattle, WA	•	•	•				4327	3	5	91
42	37	Gary Ran	Telemus Capital	Southfield, MI	•	•	•				2136	3.5	8	91
43	49	John M. Krambeer	Camden Capital	El Segundo, CA		•	•	•		•	1855	15	30	90.8
44	48	Brian Holmes	Signature Estate & Inv Advisors	Los Angeles, CA		•	•	•		•	6835	8	24	90.8
45	55	John Waldron	Waldron Private Wealth	Pittsburgh, Pa.		•	•				1423	10	25	90.6
46	50	Alex Shahidi	Advanced Research Inv Solutions	Beverly Hills, CA			•	•		•	11210	20	25	90
47	75	Shawn Parker	Ameriprise Financial	Schaumburg, Ill.	•	•	•				2000	2.5	5	86
48	51	Roger Wade	GW & Wade	Wellesley, MA	•	•	•				5973	0.7	2.6	85.9

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49	46	Jonathan Kuttin	Ameriprise Financial	Melville, NY	•	•	•				1578	1	3.9	85.9
50	N	Sarat Sethi	Douglas C. Lane & Associates	New York, NY		•	•				4886	3.9	10	85.8
51	59	Jordan Waxman	HighTower	New York, NY		•	•				2200	25	50	85.6
52	69	Richard S. Brown	JNBA Financial Advisors	Minneapolis, Minn.	•	•	•				1333	1.5	2	85.5
53	56	Daniel Roe	Budros Ruhlin & Roe	COLUMBUS, Ohio	•	•	•				2637	3.5	4.5	85.5
54	76	Jeffrey Grinspoon	HighTower	Vienna, Va.		•	•			•	1688	4	8	85.4
55	N	Daniel Wilson	Ameriprise Financial	Auburndale, MA	•	•					2163	0.3	2.8	85.3
56	72	Randy Peterson	First Republic Inv Mgmt	San Francisco, CA	•	•	•				3879	8	12	85.3
57	67	Lawrence Hood	Pacific Portfolio Consulting	Seattle, WA	•	•	•			•	3345	12.2	15.9	85.3
58	83	Frank Reilly	Reilly Financial Advisors	La Mesa, CA	•	•				•	1794	1	3.5	85.2
59	65	Charles Simmons	Ameriprise Financial	Metairie, La.	•	•	•			•	1834	0.8	1.8	85
60	N	Dagny Maidman	First Republic Inv Mgmt	San Francisco, CA		•	•		•		1528	30	60	85
61	54	Lewis Altfest	Altfest Personal Wealth Mgmt	New York, NY	•	•	•				1272	2	5	84.9
62	77	Frank Marzano	GM Advisory Group	Melville, NY		•	•	•		•	1406	8	30	84.9
63	44	Gerard Klingman	Klingman & Associates	New York, NY		•	•				1886	10	15	84.9
64	57	William Rice	Anchor Capital Advisors	Boston, MA		•				•	9072	0.3	1	84.9
65	60	Chuck Bean	Heritage Financial Services	Westwood, MA	•	•	•				1255	2	3	84.7
66	71	Randy Carver	Raymond James	Mentor, Ohio	•	•			•		1193	1	1.6	84.6
67	63	Pamela Rosenau	HighTower	Los Angeles, CA	•	•	•				1067	10	30	84.6
68	70	Rick Buoncore	MAI Capital Mgmt	Cleveland, Ohio	•	•	•				4295	10	25	84.5
69	64	Jim Berliner	Westmount Asset Mgmt	Los Angeles, CA	•	•	•				2691	3	8	84.5
70	45	Robert Fragasso	Fragasso Financial Advisors	Pittsburgh, Pa.	•	•	•	•		•	1252	0.9	1.8	84.5
71	58	Erin Scannell	Ameriprise Financial	Mercer Island, WA	•	•					1698	0.3	1	84.4
72	68	William Cafero	RCL Advisors	New York, NY		•	•				1798	10	48	84.4
73	95	Glenn Degenars	First Republic Inv Mgmt	New York, NY		•	•				9513	10	15	84.3
74	80	Debra Wetherby	Wetherby Asset Mgmt	San Francisco, CA		•	•				4700	25	40	84.3
75	N	Michael Genovese	Genovese Burford & Brothers	Sacramento, CA	•	•	•			•	3505	0.9	2.5	84.2
76	73	Kevin Myeroff	NCA Financial Planners	Cleveland, Ohio	•	•	•	•			1457	3	5	84.2
77	82	John Lesser	Plante Moran Financial Advisors	Auburn Hills, MI		•	•				12804	21.1	91	84.2
78	38	Mark Orgel	Orgel Wealth Mgmt	Altoona, Wis.	•	•	•			•	4246	2.2	6	84.1
79	N	Matthew Dillig	HighTower	Chicago, Ill.			•				1600	35	50	84.1
80	96	Andrew Rand	Rand & Associates	San Francisco, CA	•	•	•	•			1150	3.5	6	84
81	47	John Henry	OBS Financial Services	Perrysburg, Ohio	•	•				•	1717	0.3	1	83.9
82	88	Paul C Carbetta II	Ameriprise Financial	Worthington, Ohio	•	•					3331	0.5	1.6	83.8
83	81	Matthew Young	Richard C. Young & Co.	Newport, R.I.	•	•	•				1045	1.8	5	83.8
84	66	Scott Tiras	Ameriprise Financial	Houston, Texas	•	•	•				1728	2	4	83.8
85	89	James Meredith	Hefren-Tillotson	Pittsburgh, Pa.	•	•	•			•	1525	1.2	4.2	83.7
86	N	Santiago Ulloa	WE Family Offices	Miami, Fla.			•				6465	198	198	83.6
87	N	Ronald Weiner	HighTower	Westport, Conn.	•	•	•			•	788	3.5	7	83.5
88	N	Paul Kropatsch	Ameriprise Financial	Thornton, Colo.	•	•					1311	0.4	1.3	83.3
89	91	Kevin VanDyke	Bloomfield Hills Financial	Bloomfield Hills, MI	•	•				•	1131	2	4	83.3
90	N	Wes Moss	Capital Inv Advisors	Atlanta, Ga.	•	•					1932	1.4	2.3	83.3
91	84	Andy Berg	Homrich Berg	Atlanta, Ga.	•	•	•			•	4720	3	7	83.2
92	74	Malcolm Makin	Raymond James	Westerly, R.I.	•	•	•		•		1188	1.5	3	83.2
93	85	George Papadoyannis	Ameriprise Financial	San Mateo, CA	•	•	•				4500	2	7.5	83.2
94	87	Van Percy	Raymond James	Midland, Texas	•	•	•			•	1507	3	10	83.1
95	92	Joseph Jacques	Jacques Financial	Rockville, Md.	•	•				•	734	0.8	1.5	83.1
96	N	Dalal Salomon	Wells Fargo Advisors Fin. Network	Richmond, Va.	•	•	•				1066	5	15	83.1
97	78	Wally Obermeyer	Obermeyer Wood Inv Counsel	Aspen, Colo.	•	•	•				1560	3	10	83
98	N	Stephen Thomas	Linden Thomas & Co.	Charlotte, N.C.	•	•		•	•	•	1372	3	7	82.9
99	N	Leo Marzen	Bridgewater Advisors	New York, NY	•	•	•				1305	5	10	82.7
100	N	Darrell Pennington	Ameriprise Financial	Houston, Texas	•	•					971	1.5	2.5	82.7

Barron's publishes four financial advisor rankings each year: The Top 100, The Top 100 Women, The Top 100 Independents, and The Top 1,200, which is a state-by-state list. The process of being ranked in Barron's starts with filling out a detailed online survey. For information about our nominations process and the online survey, please contact Jennifer Pedone, manager of FA Research: Jennifer.Pedone@barrons.com

Barron's Second Annual Top 30 Independent Advisory Firms Ranking

This ranking is based on a number of criteria, including assets under management, client retention, and various factors that speak to the firms' ability to provide broad and consistent services to their clients. The firms' filings with the Securities and Exchange Commission were used to cross-check the data provided to Barron's. Several of the participating firms are headed by executives who formerly appeared in Barron's rankings of individual advisors. In coming years, it is expected that other advisors-turned-executives will move from The Top 100 Independent Advisor ranking to this firm ranking.

'17	'16	Firm	LOCATION	TOP EXECUTIVE	CLIENTS	ADVISORS	OFFICES	STATES
1.	2.	Creative Planning	Leawood, Kan.	Peter Mallouk, Jim Williams	18,028	237	22	11
2.	1.	Mariner Holdings	Overland Park, Kan ..	Martin Bicknell, Cheryl Bicknell	14,000	169	26	16
3.	3.	Edelman Financial Svcs	Fairfax, Va.	Ryan Parker, Ric Edelman	33,917	157	43	16
4.	4.	United Capital Financial Adv	Newport Beach, Calif.	Joe Duran, Gary Roth	19,612	138	84	28
5.	5.	Silvercrest Asset Mgmt Group	New York	Richard R. Hough III, David J. Campbell	689	27	7	4
6.	NR	Veritable	Newtown Square, Pa.	Michael A. Stolper, John J. Scuteri	218	48	3	2
7.	7.	Moneta Group Investment Adv	Clayton, Mo ..	Thomas E. O'Meara, Keith Bowles	4,389	87	2	1
8.	6.	BBR Partners	New York	Brett Barth, Evan Roth	130	25	4	2
9.	NR	Ehrenkranz Partners	New York	Andrew Sommers, John Ehrenkranz	135	6	1	1
10.	9.	Asplrlant	Los Angeles	Rob Francais, Bret Magpiong	1,600	67	10	6
11.	8.	Brownson, Rehmus & Foxworth	Chicago	Susan Shacklette, John Lafferty, Steve Rehmus	459	16	4	4
12.	11.	Buckingham Strategic Wealth	St. Louis, Mo.	Adam Birenbaum, David Levin	4,900	135	19	14
13.	15.	Oxford Financial Group	Indianapolis	Jeffrey H. Thomasson, Lisa Walker	900	26	5	5
14.	NR	Pathstone Federal Street	Englewood, N .. J.	Steve Braverman, Allan Zachariah	334	54	7	7
15.	12.	Johnson Investment Counsel	Cincinnati	Jason O. Jackman, Bret H .. Parrish	3,708	31	5	1
16.	NR	Evercore Wealth Mgmt	New York	Jeff Maurer, Chris Zander	600	25	4	4
17.	19.	Tiedemann Wealth Mgmt	New York	Michael Tiedemann, Craig Smith	438	23	6	5
18.	17.	CV Advisors	Aventura, Fla.	Elliot Dornbusch, Alexandre Mann	62	5	1	1
19.	14.	Athena Capital Advisors	Lincoln, Mass.	Lisette Cooper, Leonard Lewin	42	14	2	2
20.	NR	Sontag Advisory	New York	Michael Delgass, Howard Sontag	1,257	9	1	1
21.	NR	Carson Group	Omaha, Neb ..	Ron Carson, Aaron Schaben	10,502	110	52	28
22.	18.	Savant Capital Mgmt	Rockford, Ill.	Brent R. Brodeski, Richard A. Bennett	4,259	41	13	3
23.	20.	RMB Capital Mgmt	Chicago	Richard M .. Burrige, Jr., Frederick Paulman	2,277	21	17	12
24.	NR	Churchill Mgmt Group	Los Angeles	Fred A. Fern, Randy C .. Conner	5,157	38	11	14
25.	NR	Frontier Investment Mgmt	Dallas	Gary Schoen, Richard Sowden	1,257	20	7	5
26.	NR	WMS Partners	Towson, Md.	Timothy W .. Chase, Pace Kessenich	298	23	1	1
27.	NR	Signature Family Wealth Adv	Norfolk, Va.	Randy Webb, Anne Shumadine	165	11	3	1
28.	NR	Ferguson Wellman Capital Mgmt	Portland, Ore.	Jim Rudd, Steve Holwerda	783	21	1	1
29.	NR	Summit Trail Advisors	New York	Jack B. Petersen, David Romhilt	270	14	4	4
30.	NR	Joel Isaacson	New York	Joel S. Isaacson, Stanley Altmark	883	16	1	1